

Chapter 7 Oxidation and reduction

OXIDATION

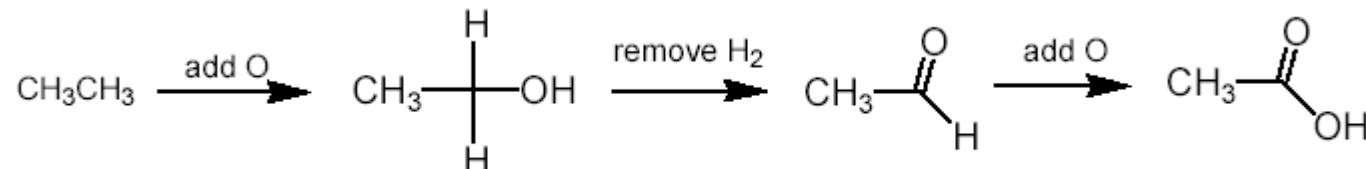
Definition:

The addition of oxygen

The removal of hydrogen

The removal of electrons

Example:
e:



I. Oxidation of alcohols to aldehydes or ketones

- A) Transition Metal oxidants
- B) DMSO-based Oxidation
- C) Other Useful oxidants

II. Oxidation of alkenes

- A) Epoxidation
- B) Dihydroxylation
- C) Oxidative Cleavage

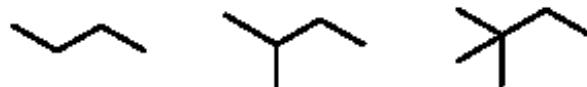
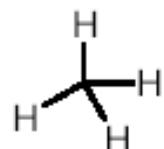
Oxidation levels in organic chemistry:

Level 0: Each carbon has *zero* bonds to elements more

electronegative than itself

Level 0

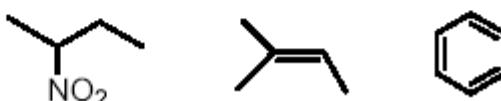
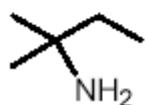
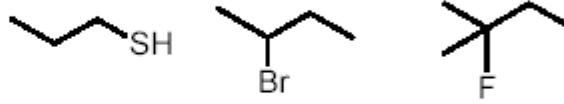
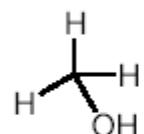
Hydrocarbon Oxidation Level



Level 1: Containing carbon atoms that have *one* bond to an electronegative atom.

Level 1

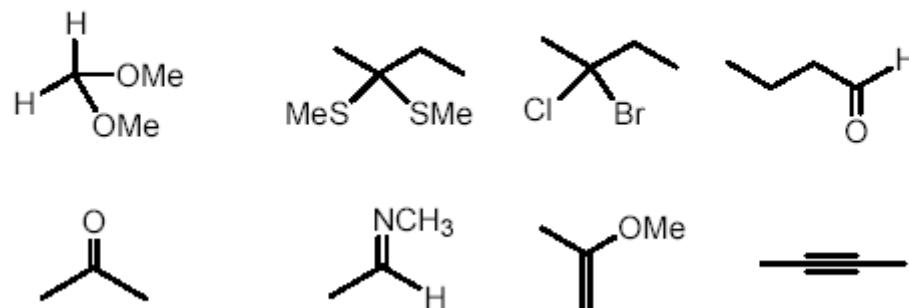
Alcohol Oxidation Level



Level 2: Containing carbon atoms that have *two* bonds to electronegative atoms.

Level 2

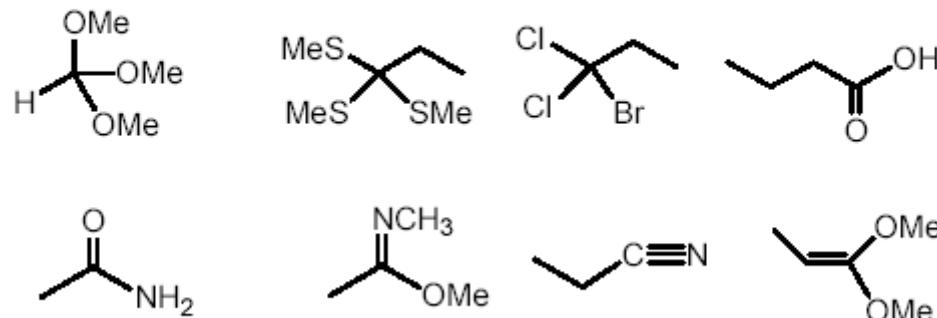
Ketone Oxidation Level



Level 3: Containing carbon atoms that have *three* bonds to electronegative atoms.

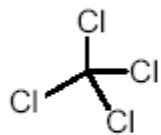
Level 3

Carboxylic Acid Oxidation Level

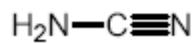
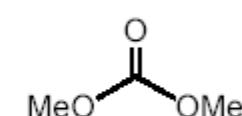
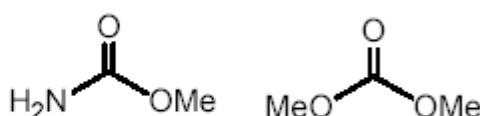
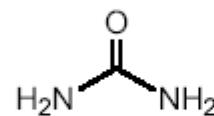


Level 4: Containing carbon atoms that have *four* bonds to electronegative atoms.

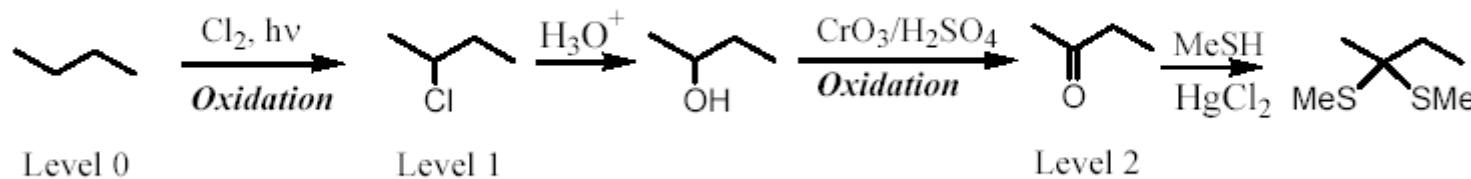
Level 4



Carbon Dioxide Oxidation Level



Examples:



I. Oxidation of alcohols to aldehydes and ketones

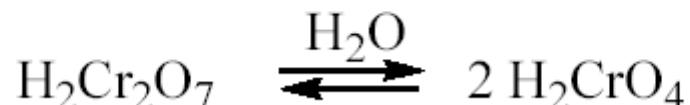
A) Transition-metal oxidants

1. Chromium-based oxidation reagents

a) Jones Reagent (Chromic acid, an orange solution)

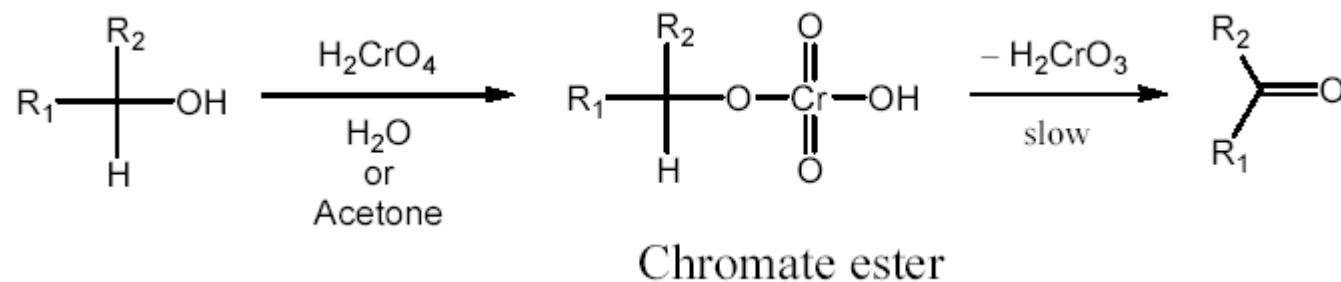
Preparation:

- $\text{Na}_2\text{Cr}_2\text{O}_7 / \text{H}_2\text{SO}_4$ or $\text{CH}_3\text{COOH} / \text{H}_2\text{O}$
- CrO_3 in aq. H_2SO_4 / acetone



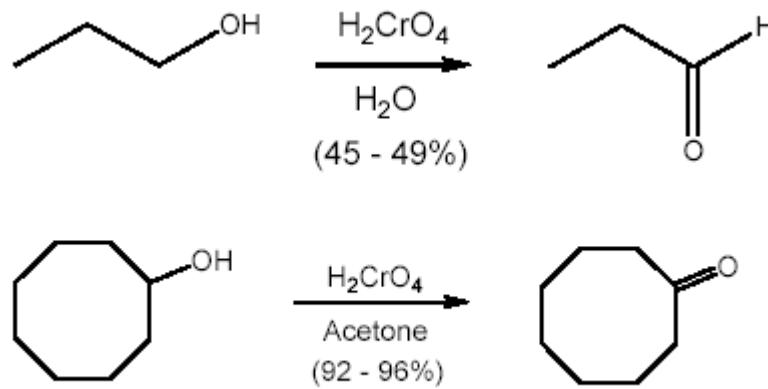
Acetone solvent serves to protect substrate from over oxidation.

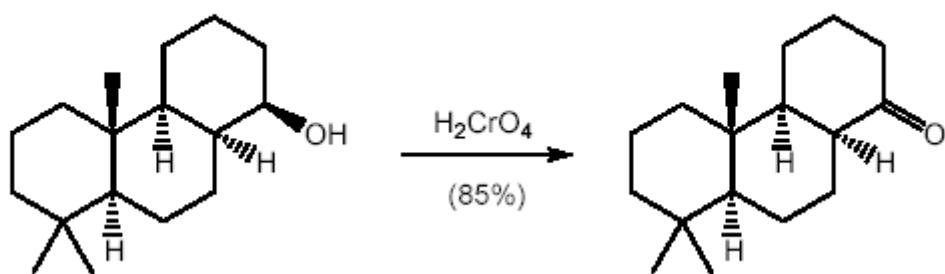
Mechanism:



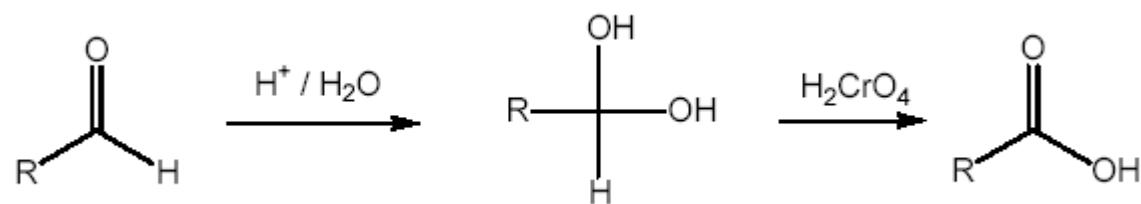
- Alkenes are not oxidized under the Jones oxidation conditions

Examples:





Note: Over oxidation of alcohols to acids (water is present)



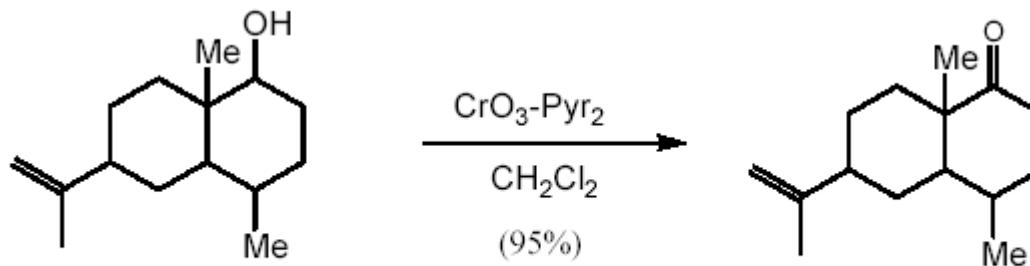
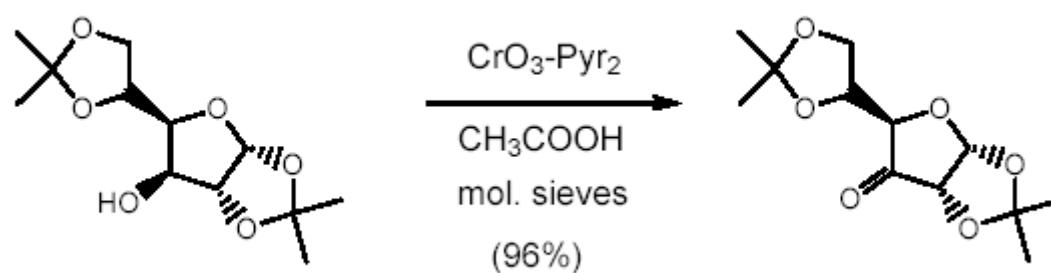
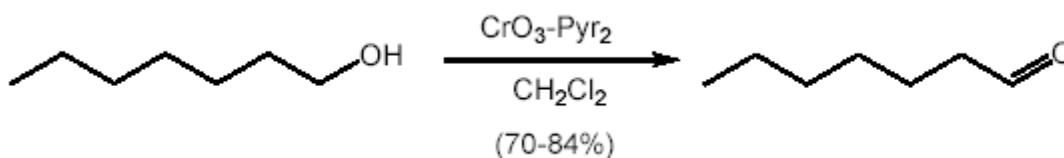
1. Chromium-based oxidation reagents

b) Collins Reagent ($\text{CrO}_3\text{-Pyr}_2$)

Preparation: Add CrO_3 to pyridine, not the reverse (inflames)

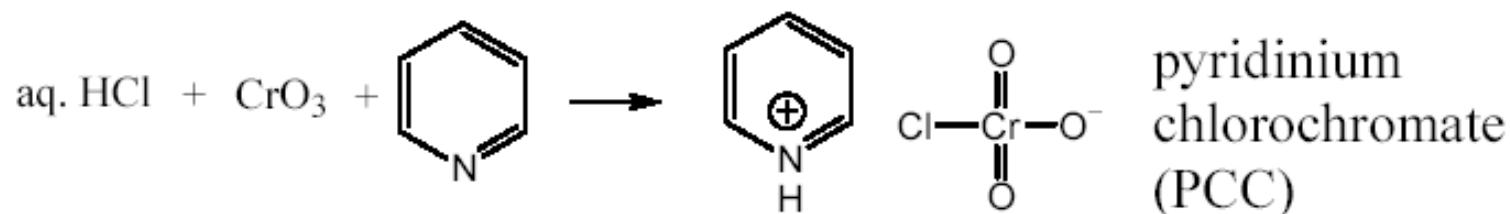
- A hygroscopic, stable, deep red crystalline complex which can be stored for a short period of time
- Neutral conditions
- Oxidation in dichloromethane at room temperature
- No over-oxidation to acids observed
- Good for acid sensitive substrates
- Large excess of Collins reagent is required

Examples:



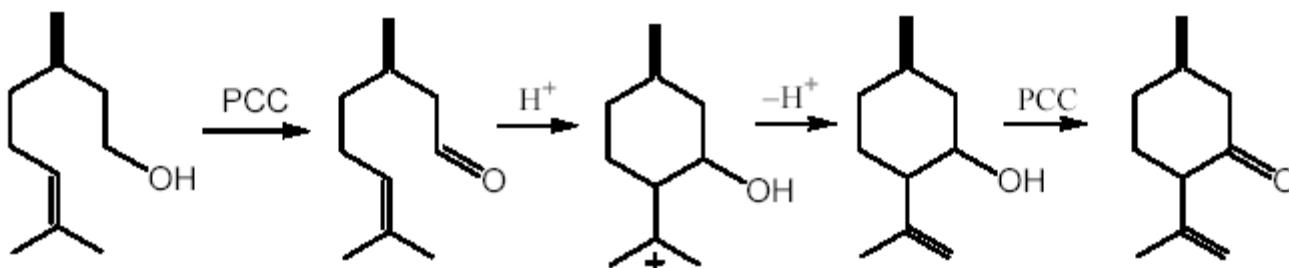
1. Chromium-based oxidation reagents

c) PCC

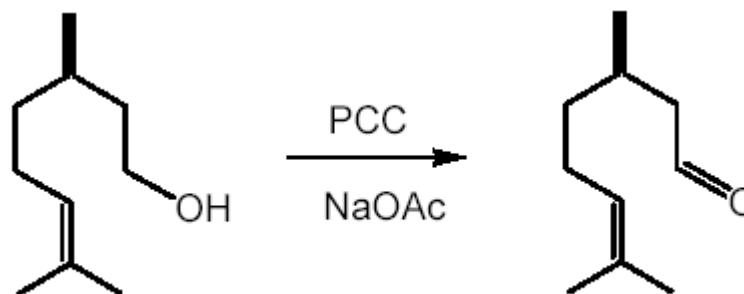


- Excess of oxidant is NOT required
- No over-oxidation observed
- PCC is slightly acidic which can cause side reactions

Example:

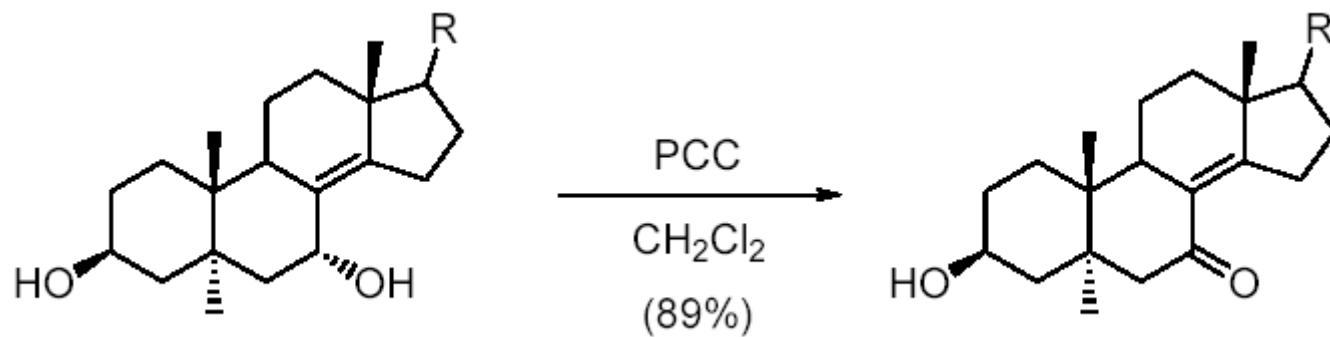


To avoid side reactions, PCC reaction can be buffered with sodium acetate



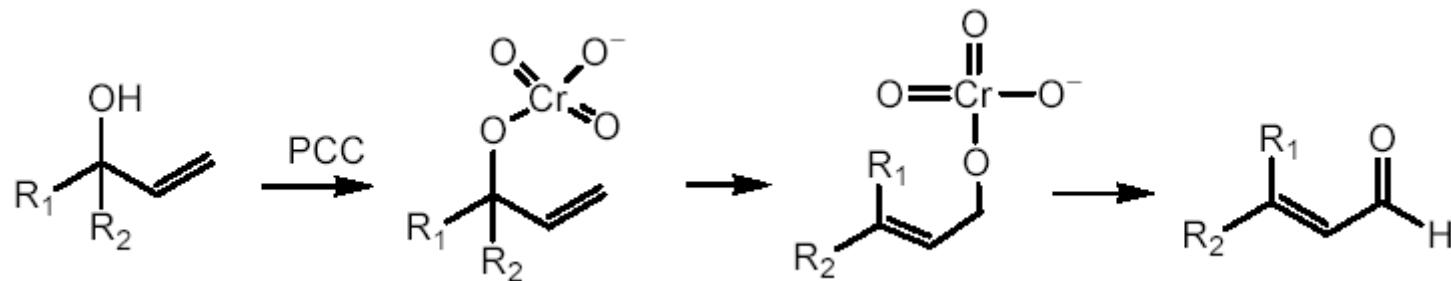
Fast oxidation of allylic alcohols

Example:

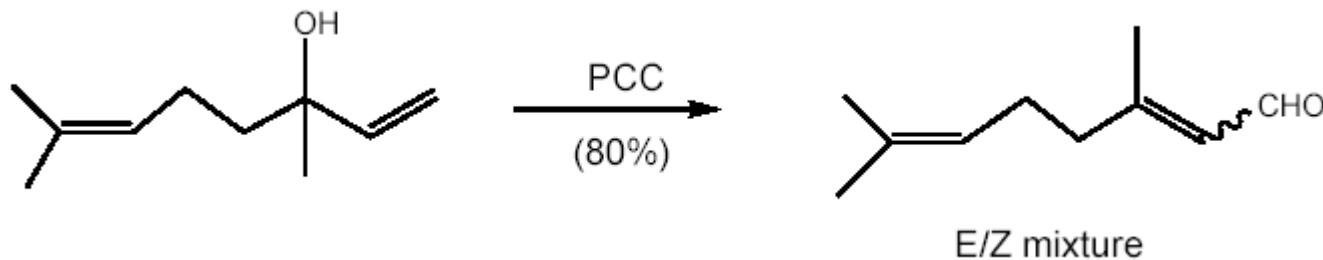


- Tertiary allylic alcohols undergo oxidative rearrangement to give α,β -unsaturated aldehyde

Mechanism:

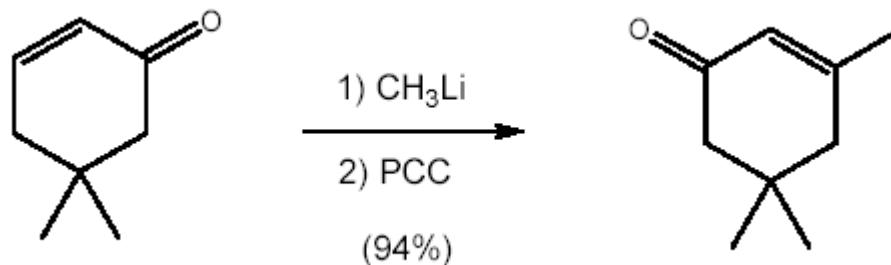
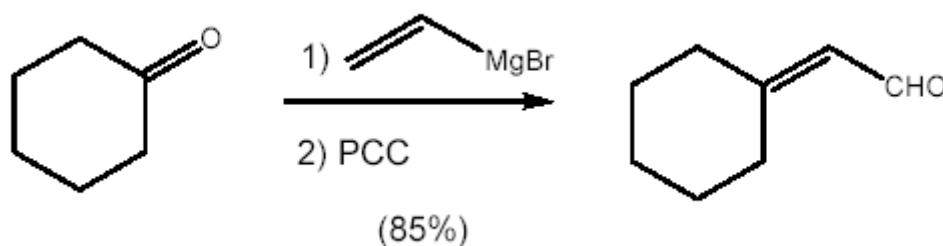


Example:



Useful for preparation of α , β -unsaturated aldehydes and ketones from ketones

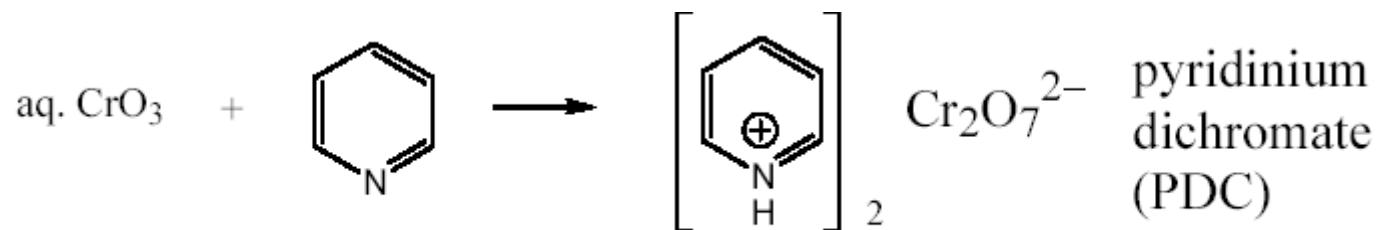
Examples:



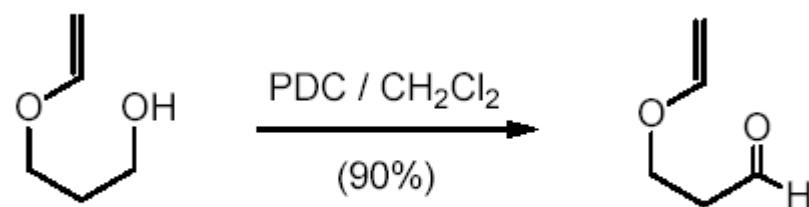
- Drawback: high toxicity and environmental hazards associated with chromium compounds

1. Chromium-based oxidation reagents

d) PDC



- PDC is neutral, stable, and commercially available
- PDC oxidation slower than PCC



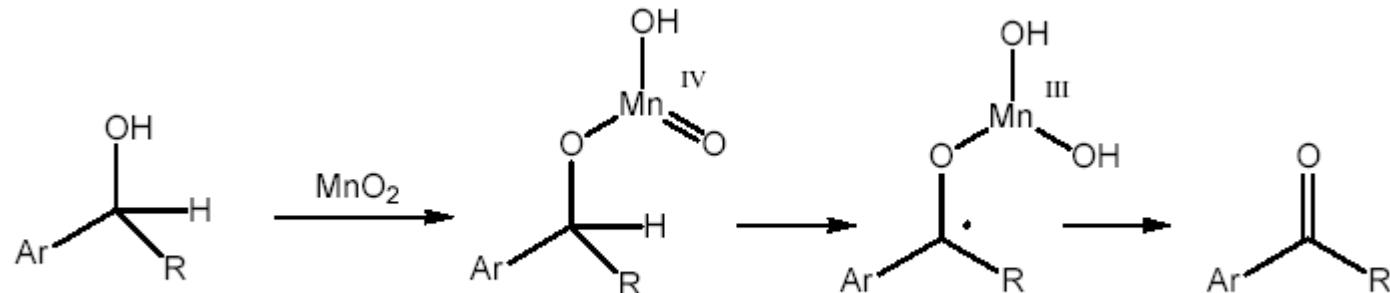
A) Transition-metal oxidants

2.

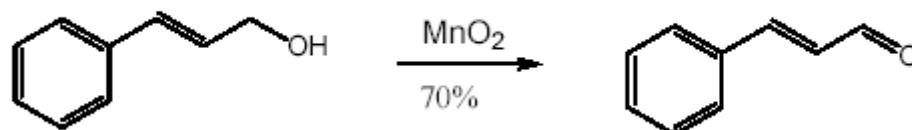
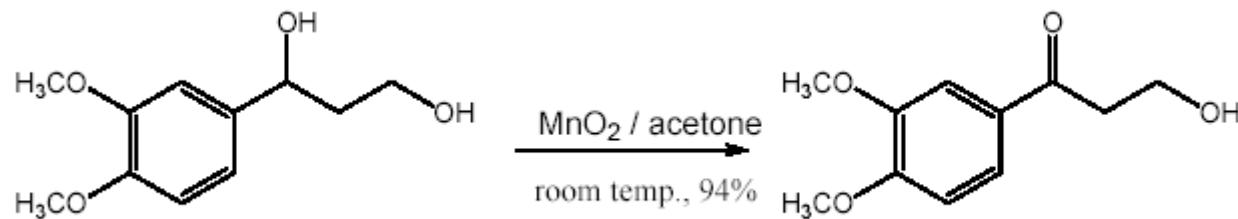
Manganese

a) Manganese Dioxide (MnO_2)

Mechanism:



- Very mild oxidant
- Selective oxidation of allylic and benzylic alcohols



b) KMnO₄

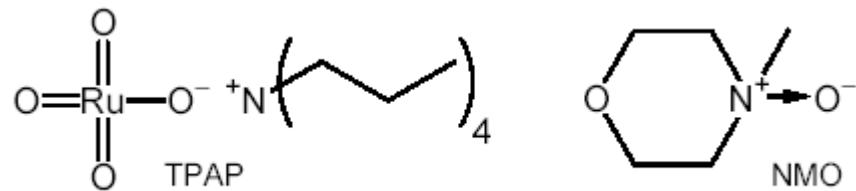
KMnO₄ / H₂SO₄

- Good for oxidation of alcohols to acids
- Reaction runs in aqueous solution (insolubility of KMnO₄ in organic solvents)
- Can oxidize carbon-carbon double bonds
- Other permanganate reagents: R₄NMnO₄, BaMnO₄ (soluble in organic solvents)

A) Transition-metal oxidants

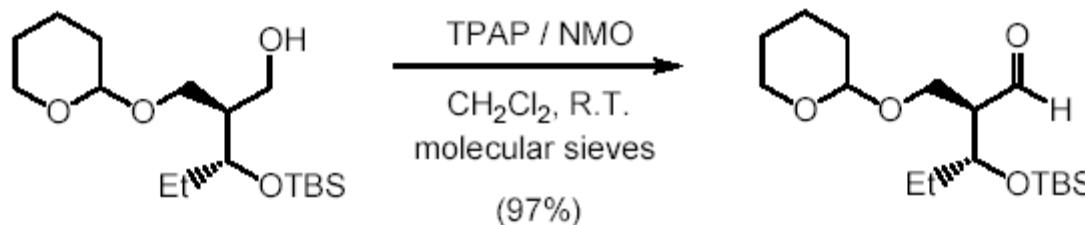
3. Ruthenium (Ru)

Tetra-*n*-propylammonium perruthenate (TPAP)/*N*-Methylmorpholine *N*-oxide (NMO)

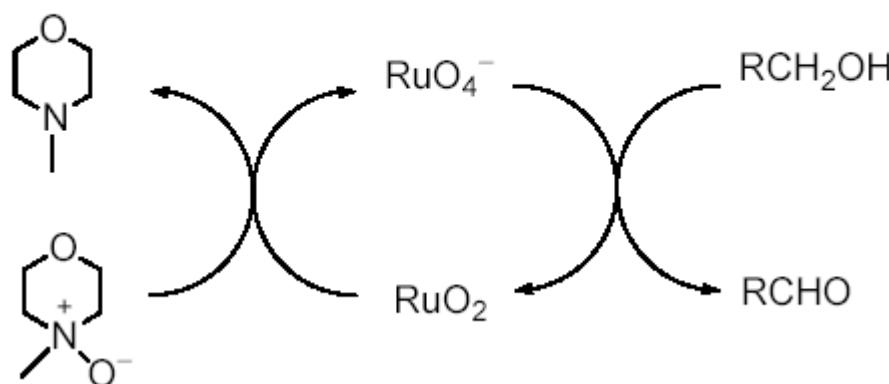


- Mild conditions
- Catalytic: only 0.5 – 5 mol % of catalyst is required: Good for large scale oxidation
- Do not oxidize olefins
- Potential oxidation of nitrogen and sulfur containing function groups

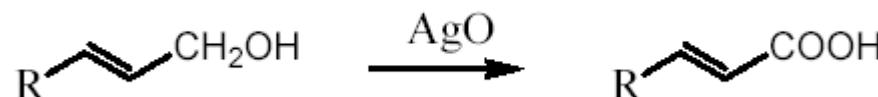
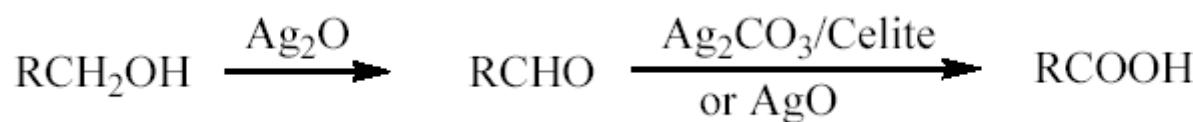
Example:



Catalytic cycle:



4. Silver (Ag)

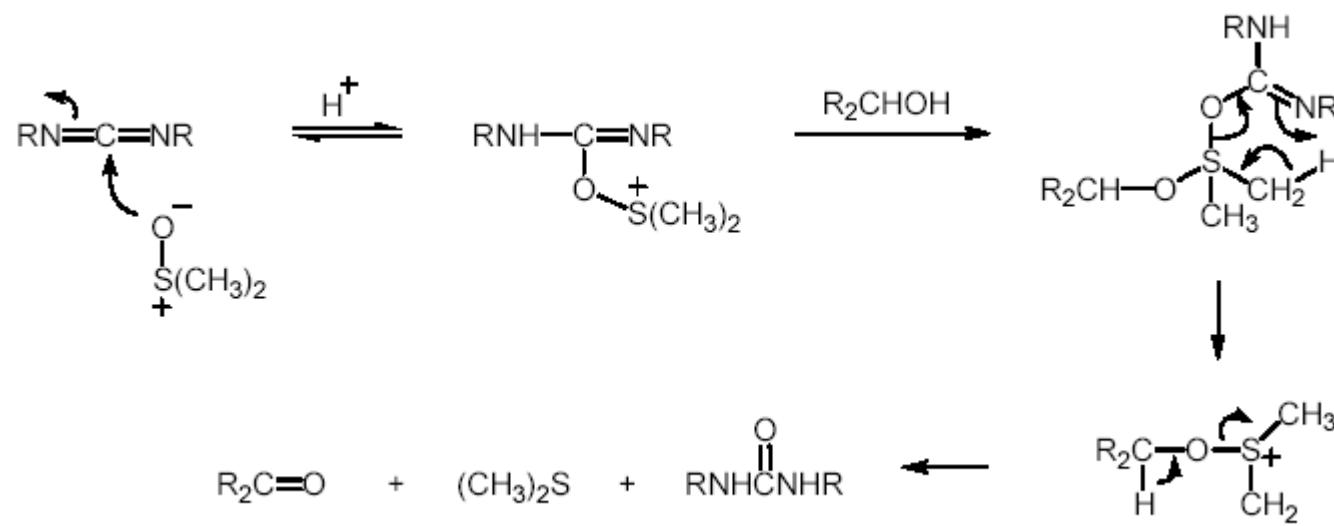


I. Oxidation of alcohols to aldehydes and ketones

B) Oxidation Based on Dimethyl Sulfoxide (DMSO)

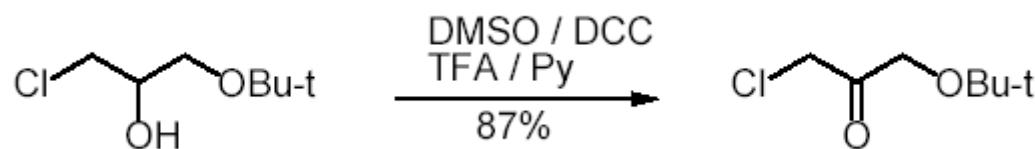
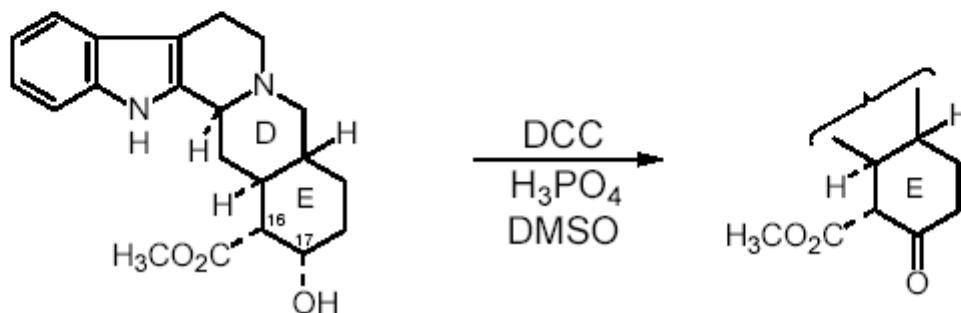
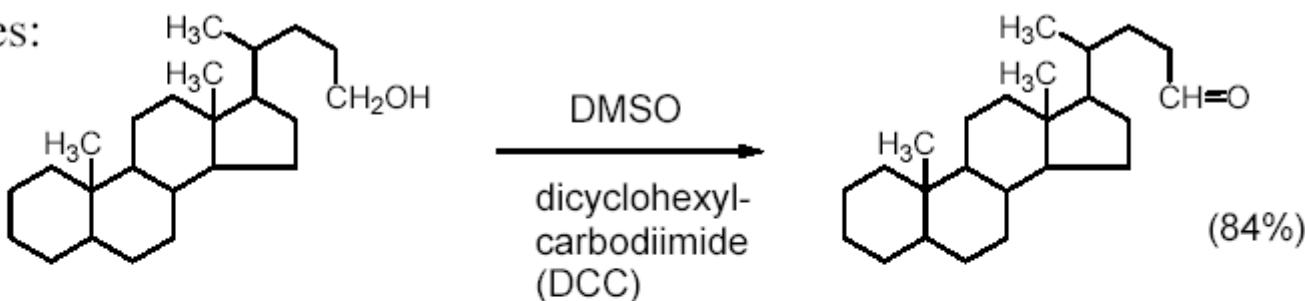
1. Ptitzner-Moffatt Oxidation (DMSO-Carbodiimide)

Mechanism:



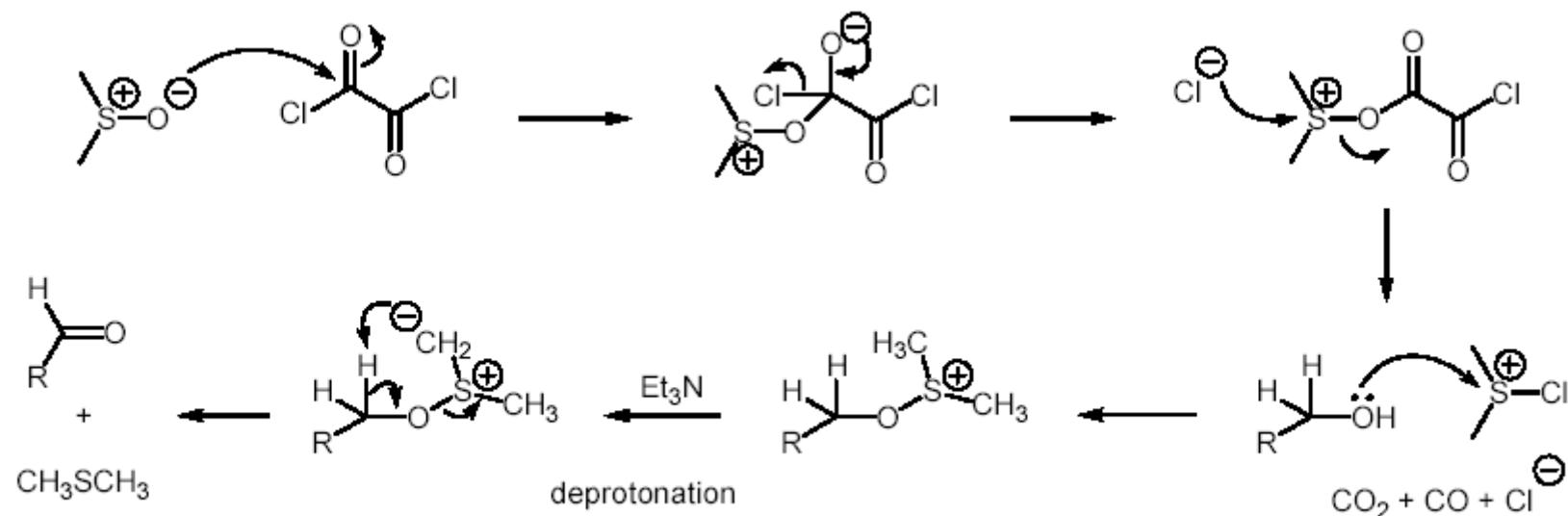
- Good for molecules that are sensitive to transition-metal oxidants (eg. Indoles)
- Less reactive than transition-metal oxidants
- Acidic conditions

Examples:



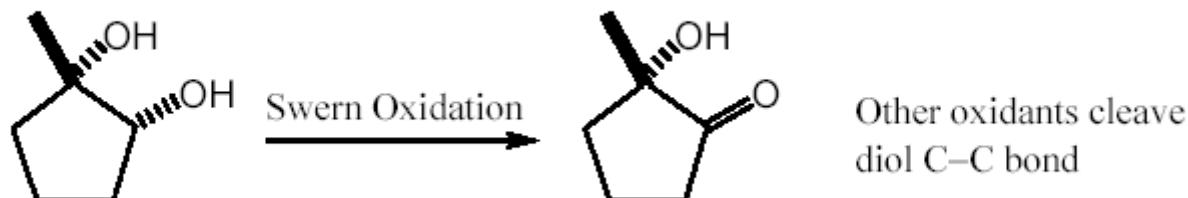
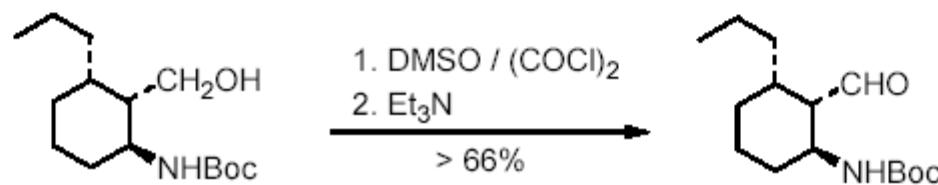
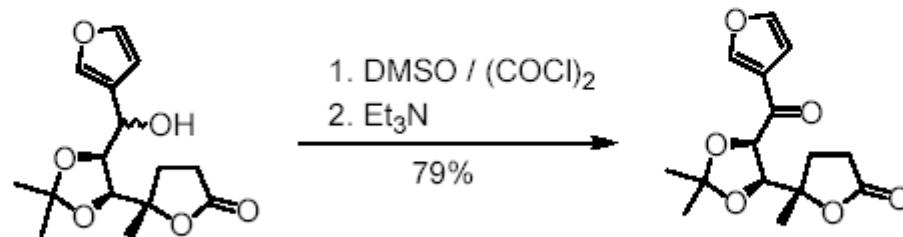
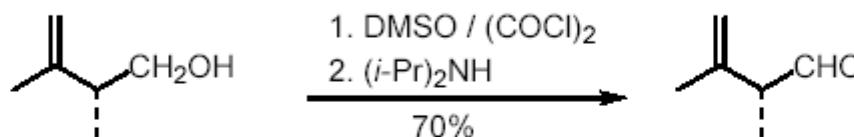
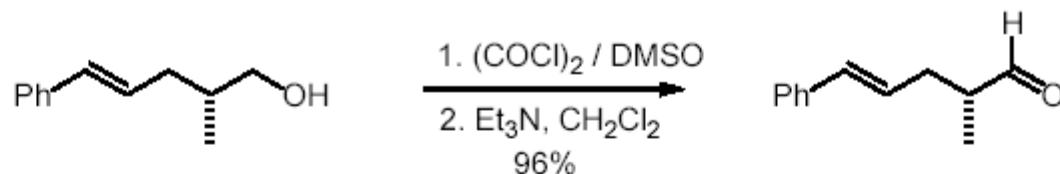
2. Swern Oxidation (DMSO-Oxalyl Chloride)

Mechanism:



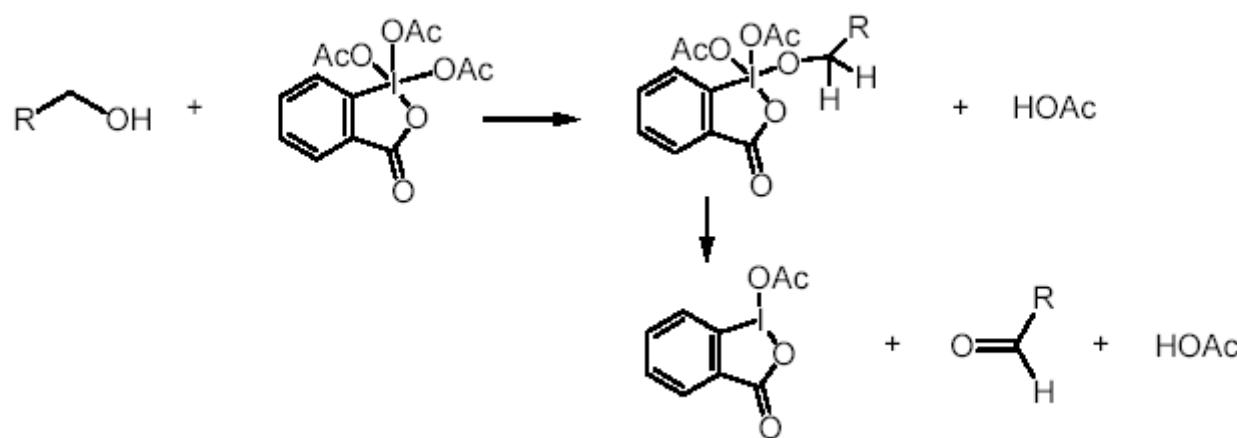
- More reactive than the Ptitzner-Moffatt conditions
- Requires very low temperature (-78°C) for activation of alcohols
- Neutral conditions: little racemization of α -chiral center
- Formation of side-product ($\text{ROCH}_2\text{SCH}_3$)

Examples:



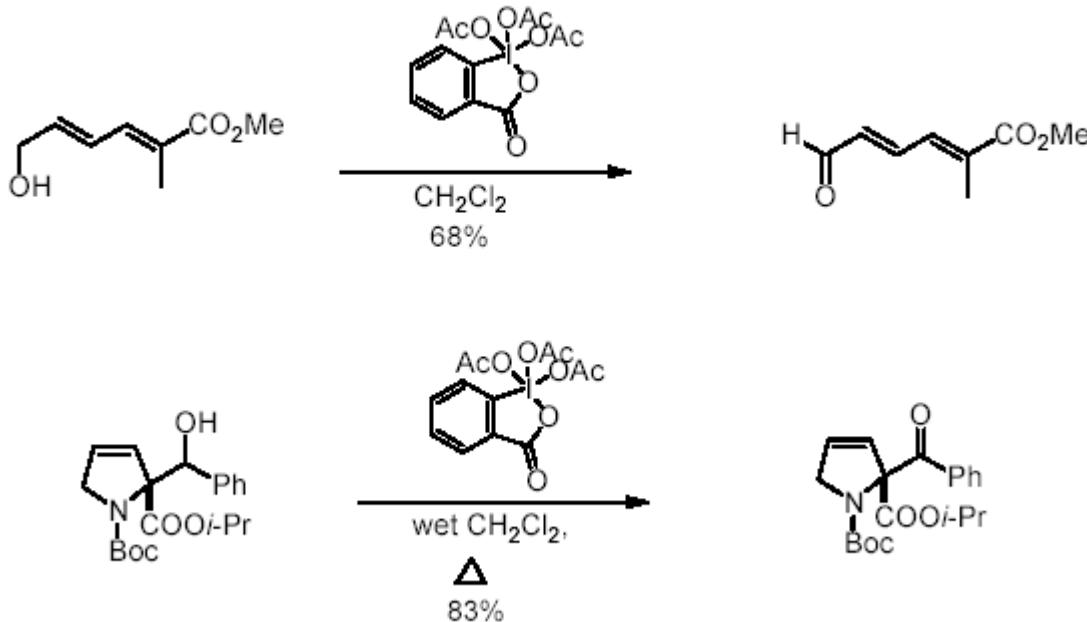
C) Dess. Martin Periodinane: a hypervalent iodine(V) oxidant

Mechanism:

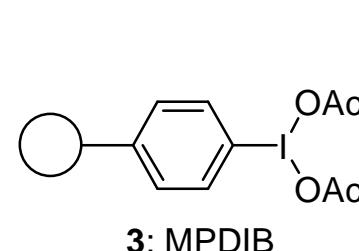
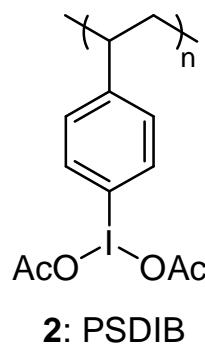
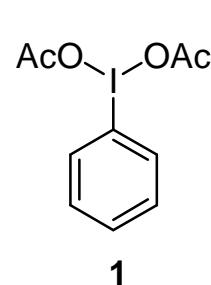


- Very mild condition: tolerate a large number of functional groups
- Wet solvent (CH_2Cl_2) increase the rate of oxidation and essential for oxidation of hindered 2° alcohol
- Require stoichiometric amount of reagents: not practical for large scale
- The intermediate for preparation of the reagent is explosive

Examples:

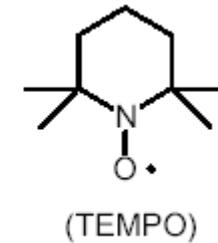


Hypervalent iodine

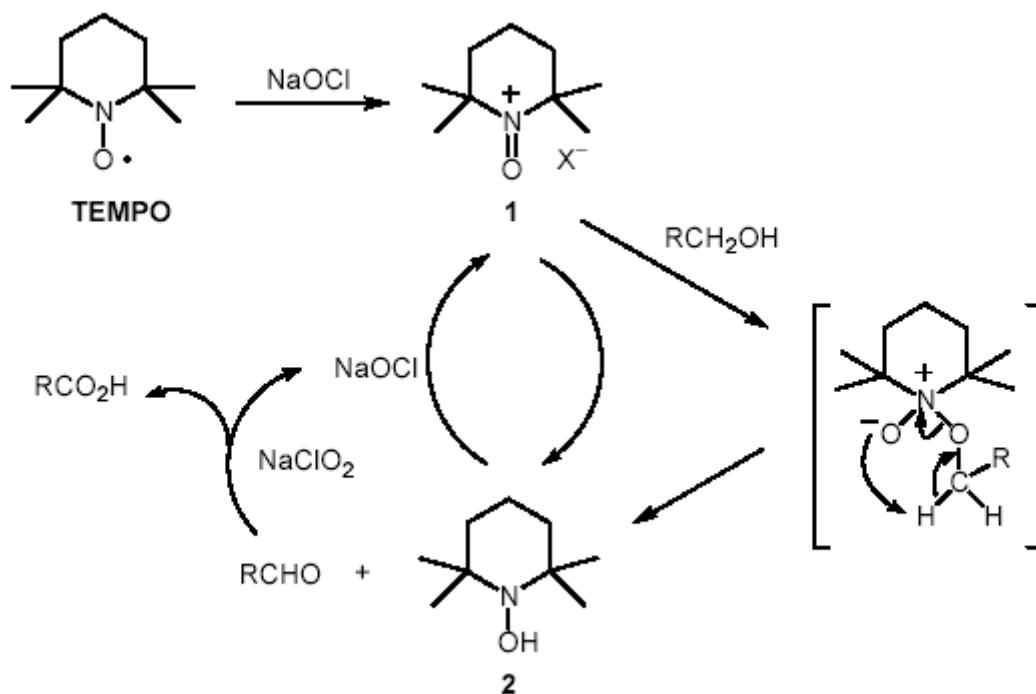


D) Oxoammonium salts:

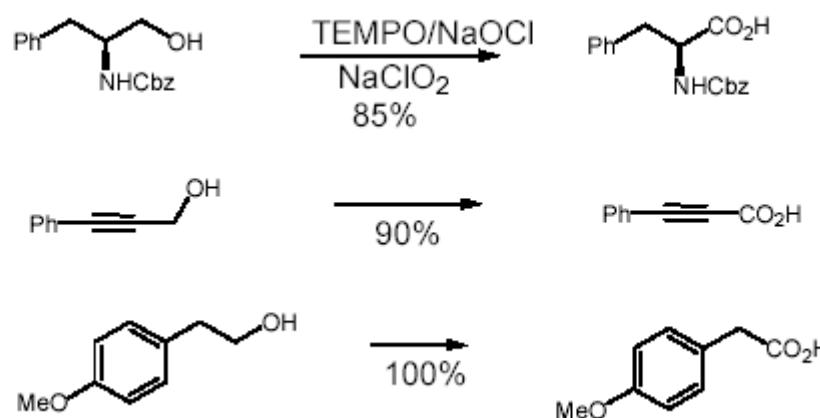
2,2,6,6-tetramethylpiperidine-1-oxy (TEMPO) and NaOCl (bleach) catalyzed oxidation of primary alcohols to carboxylic acids with sodium chlorite (NaClO_2)



Mechanism:

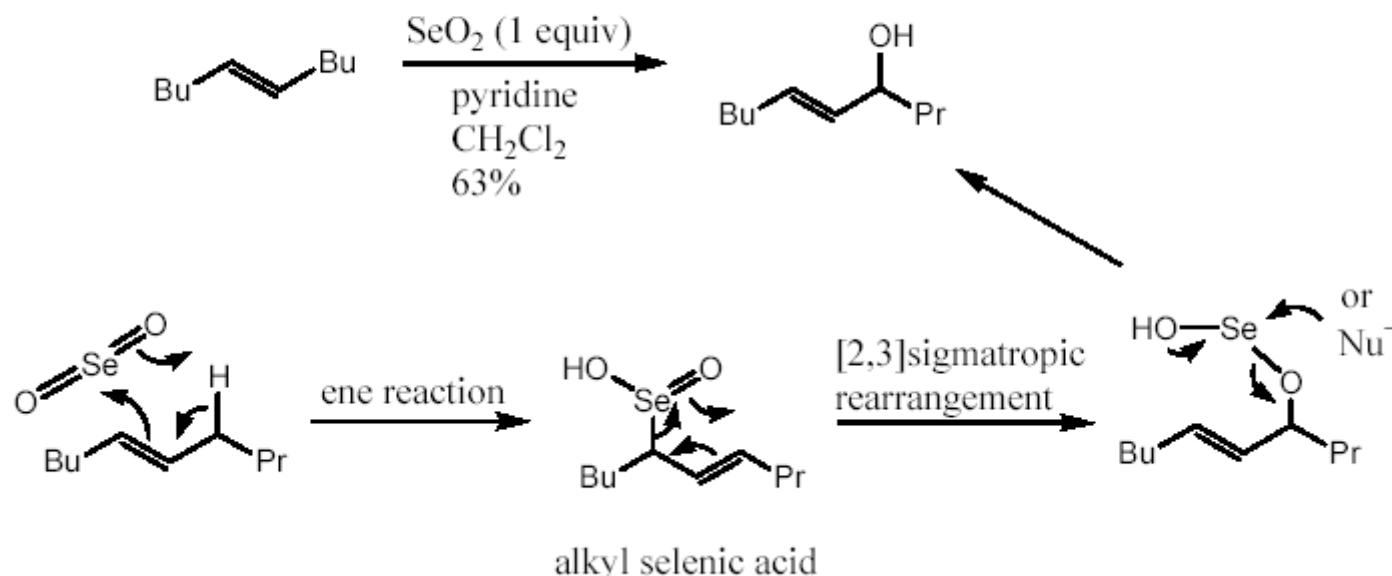


Examples:



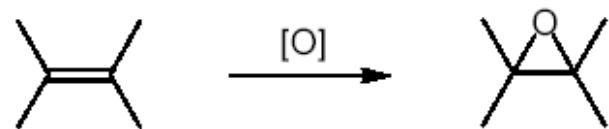
Other oxidation reactions:

Allylic oxidation with SeO_2 :



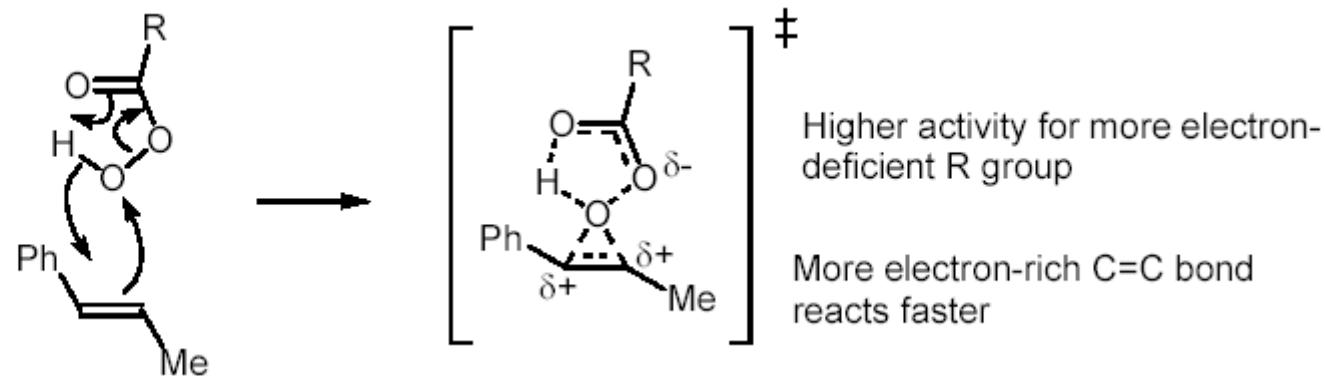
II. Oxidation of Alkenes

A) Epoxidation

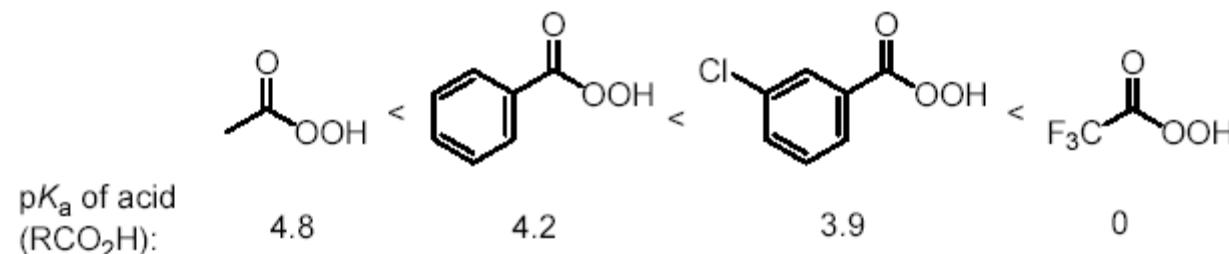


1. Peracids (RCO_3H): prepared from RCO_2H and H_2O_2

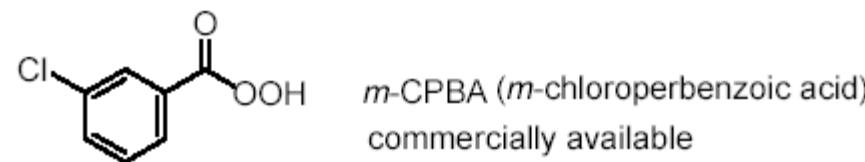
Mechanism:



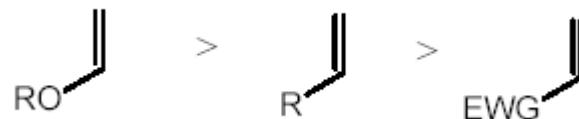
Reactivity:

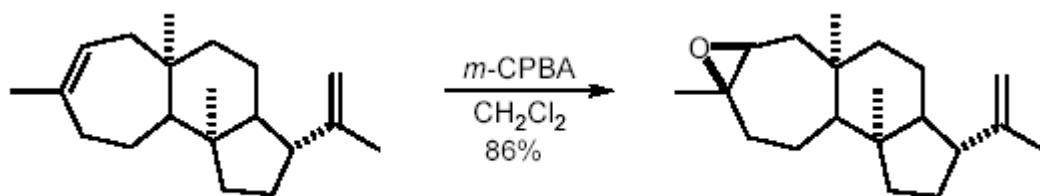
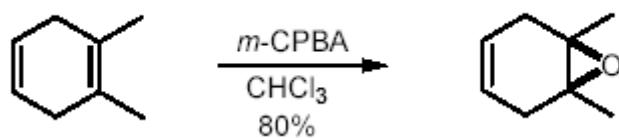


The lower the pK_a , the greater the reactivity (i.e., the better the leaving group)

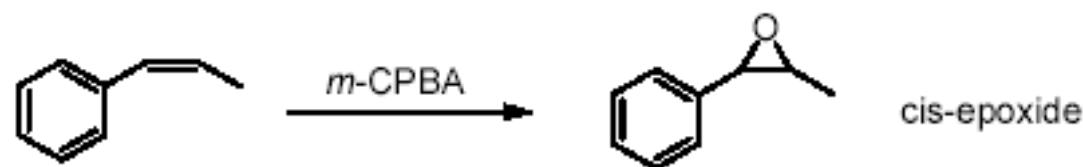
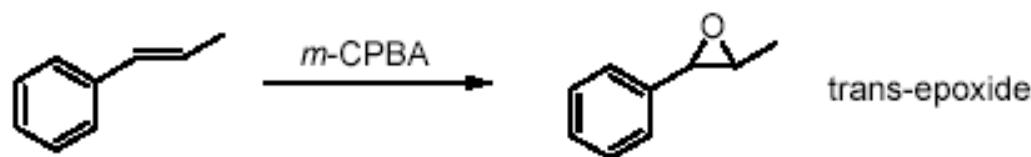


- Chemoselectivity: most nucleophilic C=C reacts fastest.

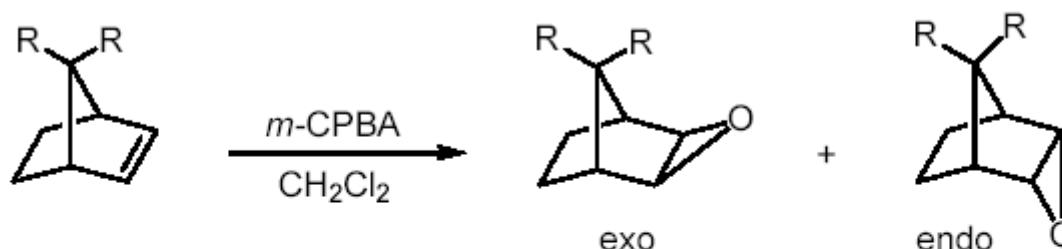
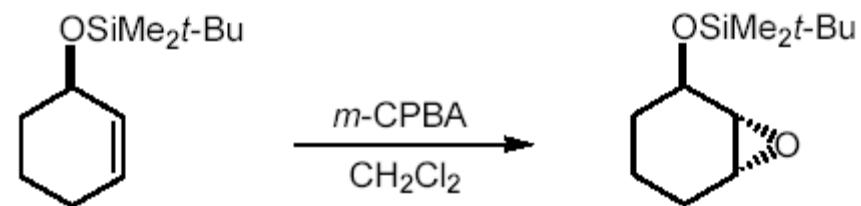




Stereochemistry of olefins is maintained (stereospecific!).



Sensitive to steric: Epoxidation preferentially occurs at the less hindered side.



R = H

25°C, 20 min

99%

1%

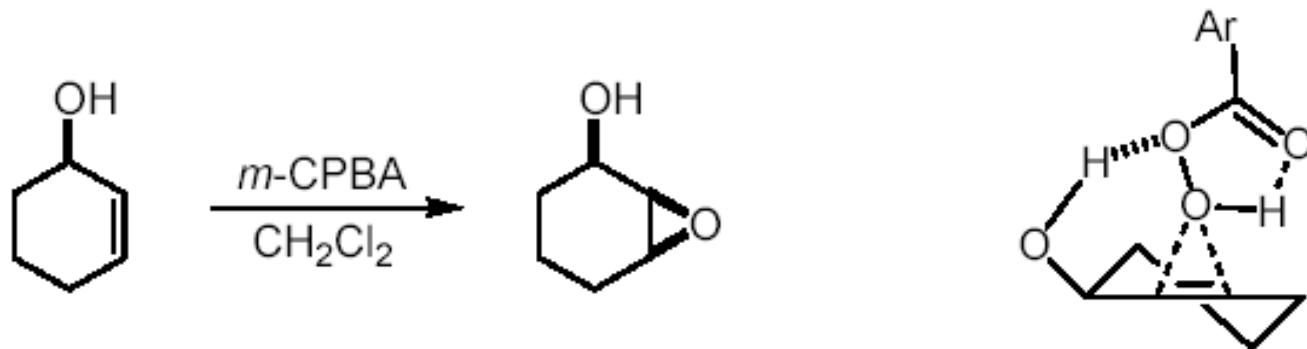
R = CH₃

25°C, 24 h

<10%

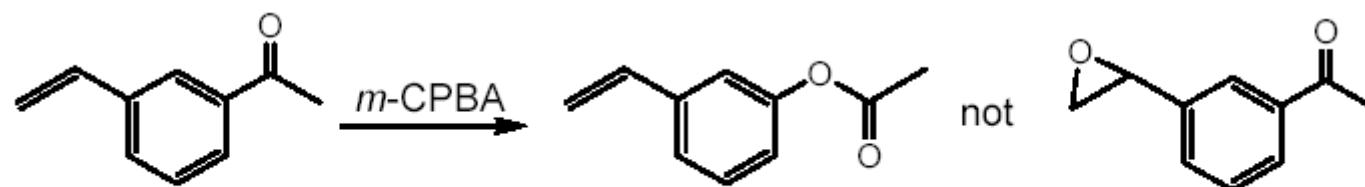
90%

Directed by allylic OH and NHCOR groups

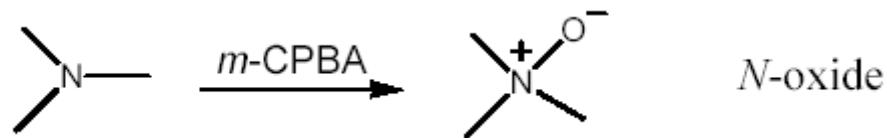


Common side reactions:

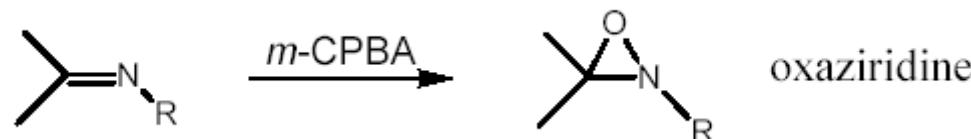
Baeyer-Villiger reactions of ketones and aldehydes



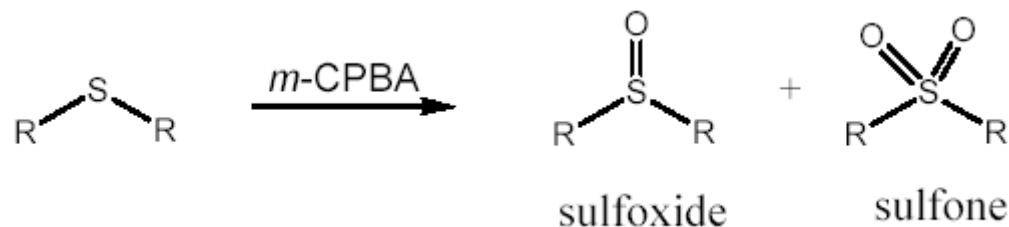
Oxidation of amines



Imine oxidation



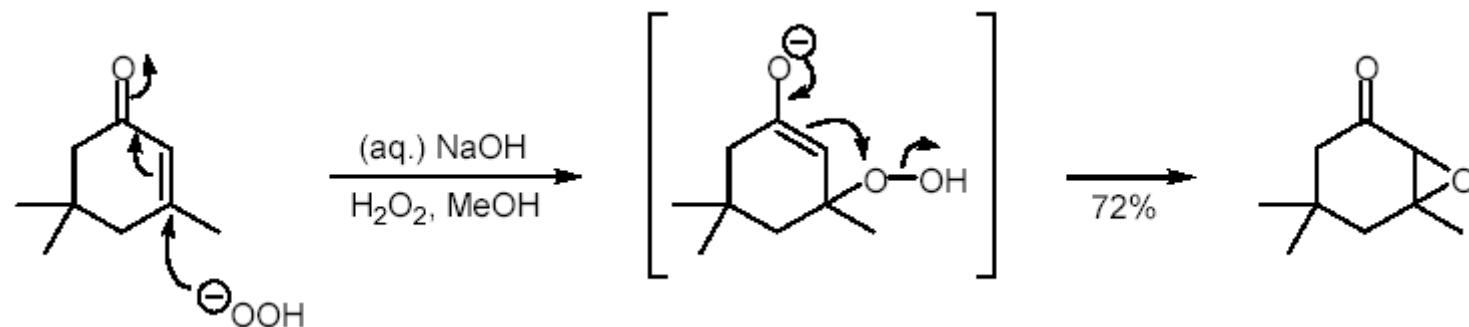
Sulfur oxidation



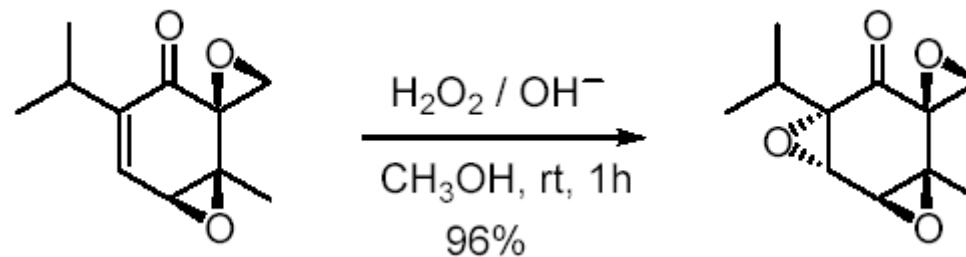
2. Hydroperoxides under basic conditions (H_2O_2 / NaOH)

Good for electron-poor alkenes

Mechanism:

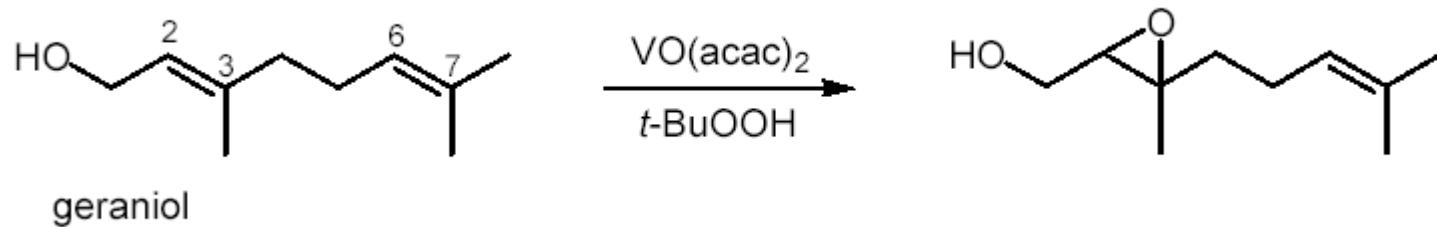


Examples:

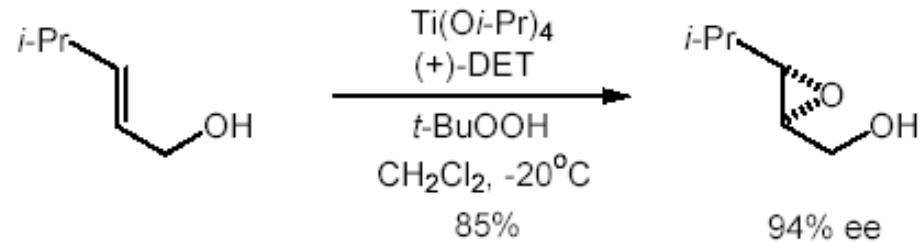


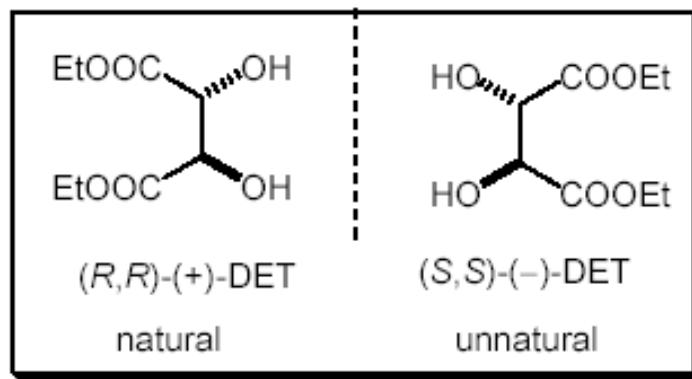
3. Hydroperoxides with transition metal catalysts

a) Vanadium: $\text{VO}(\text{acac})_2$ / $t\text{-BuOOH}$

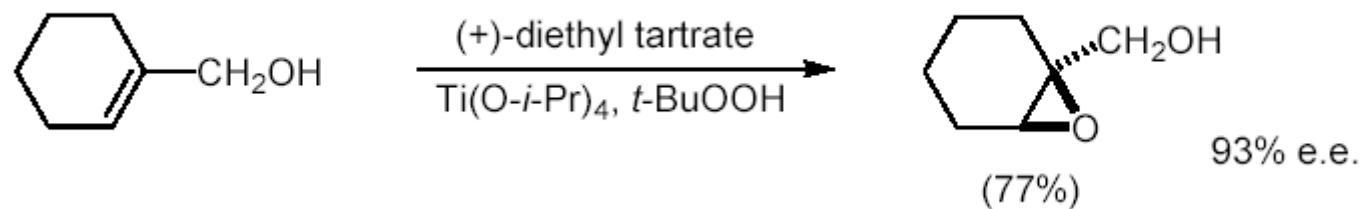
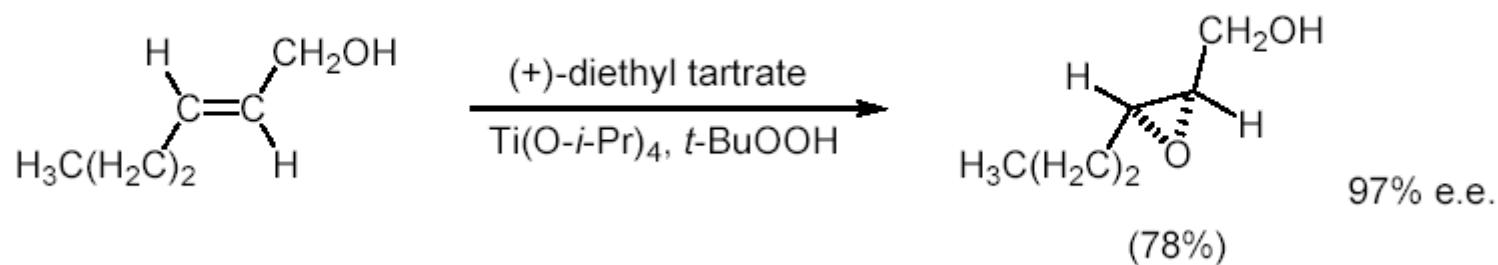


b) Titanium: $\text{Ti}(\text{O}i\text{-Pr})_4$ / $t\text{-BuOOH}$ / diethyltartrate (DET)
Sharpless catalytic asymmetric epoxidation (AE)



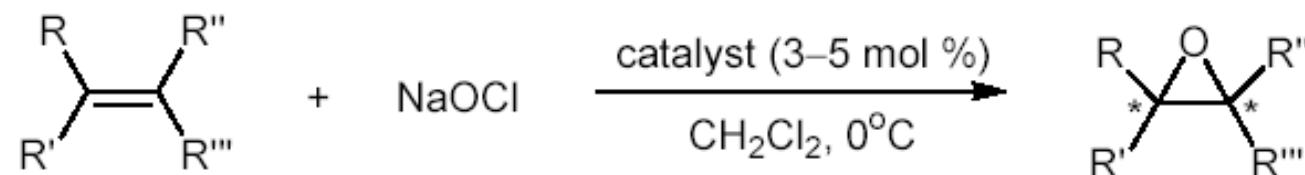


Examples:

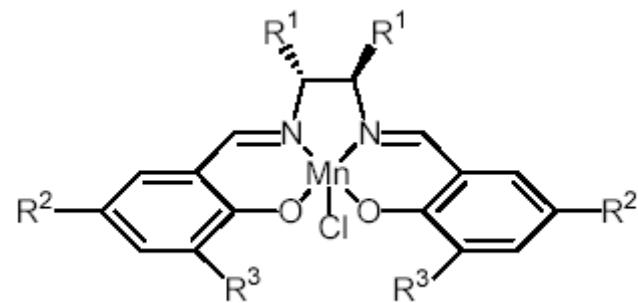


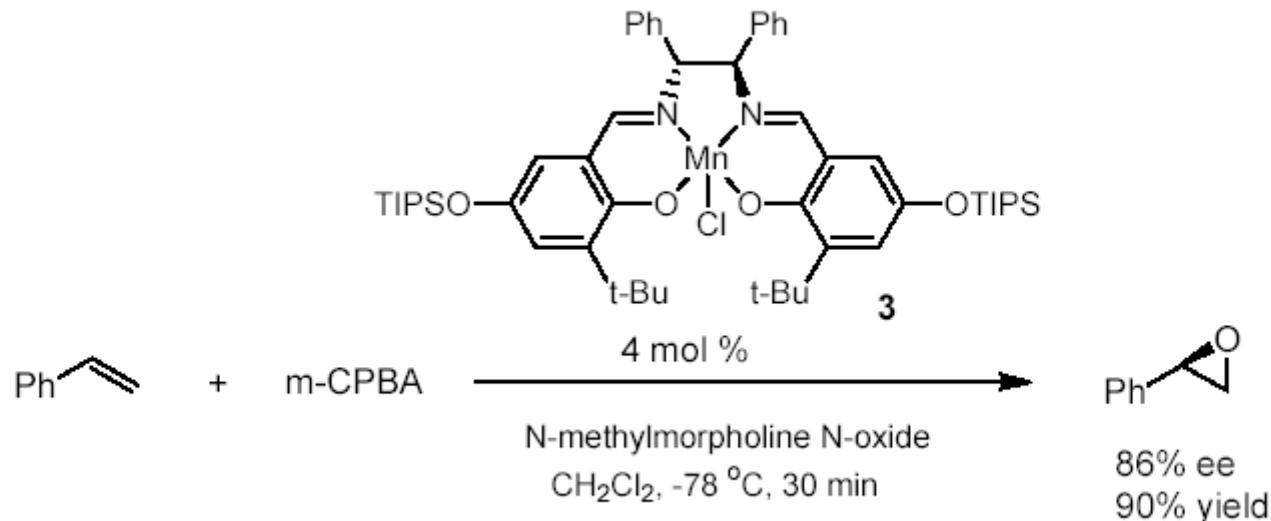
c) Manganese: (Salen)Mn(III) / NaOCl (Jacobsen epoxidation)

Stereoselective epoxidation of unfunctionalized olefins



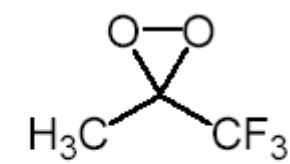
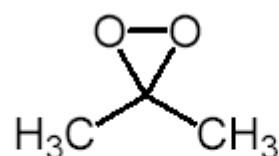
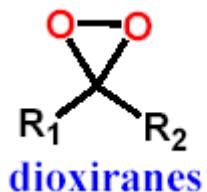
Jacobsen catalysts:





4. Dioxiranes

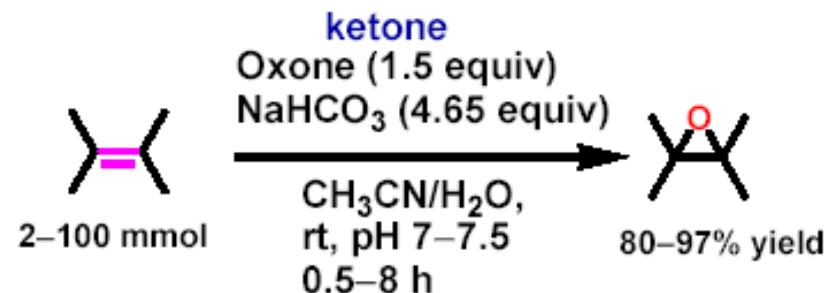
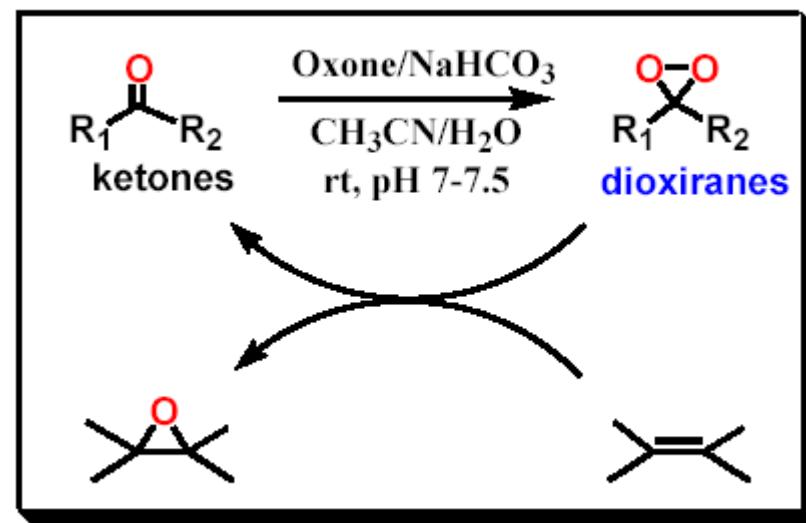
Dioxiranes: a new class of organic oxidants for epoxidation, C-H bond oxidation, and heteroatom oxidation reactions



- Methyl(trifluoromethyl)dioxirane is about 1000 times more reactive than DMDO.
- Dioxiranes can be generated in situ from ketones and Oxone® (potassium peroxyomonosulfate; $2\text{KHSO}_5 \cdot \text{KHSO}_4 \cdot \text{K}_2\text{SO}_4$)

Catalytic Cycle

Environmentally Friendly Process
Economical

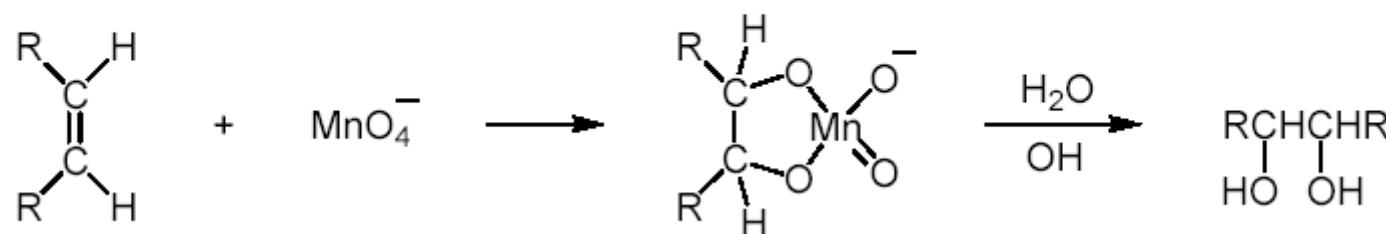


II. Oxidation of Alkenes

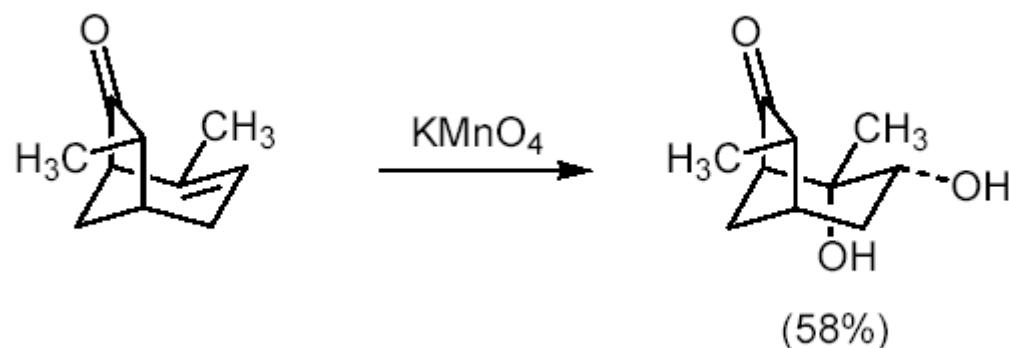
B) Dihydroxylation

1. KMnO_4 :

- *Syn* addition

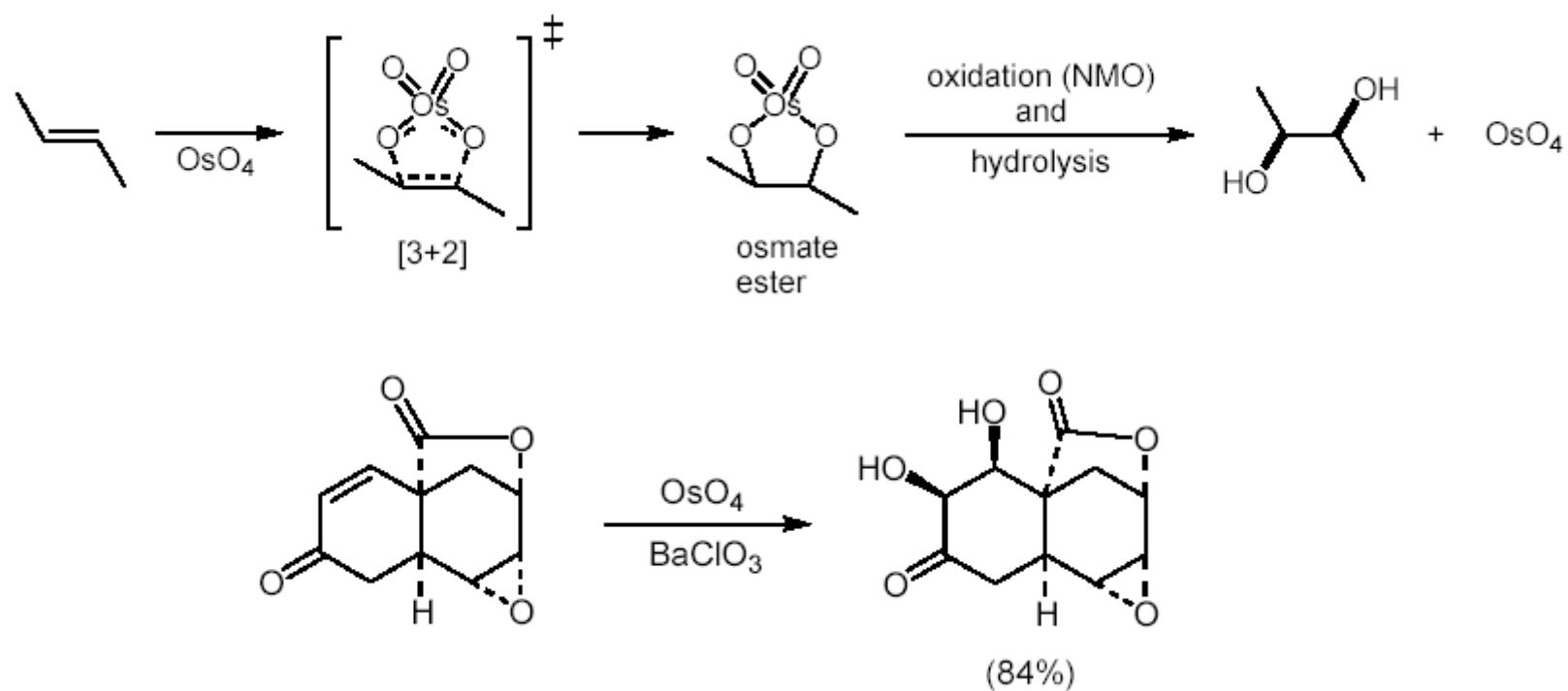


Examples:

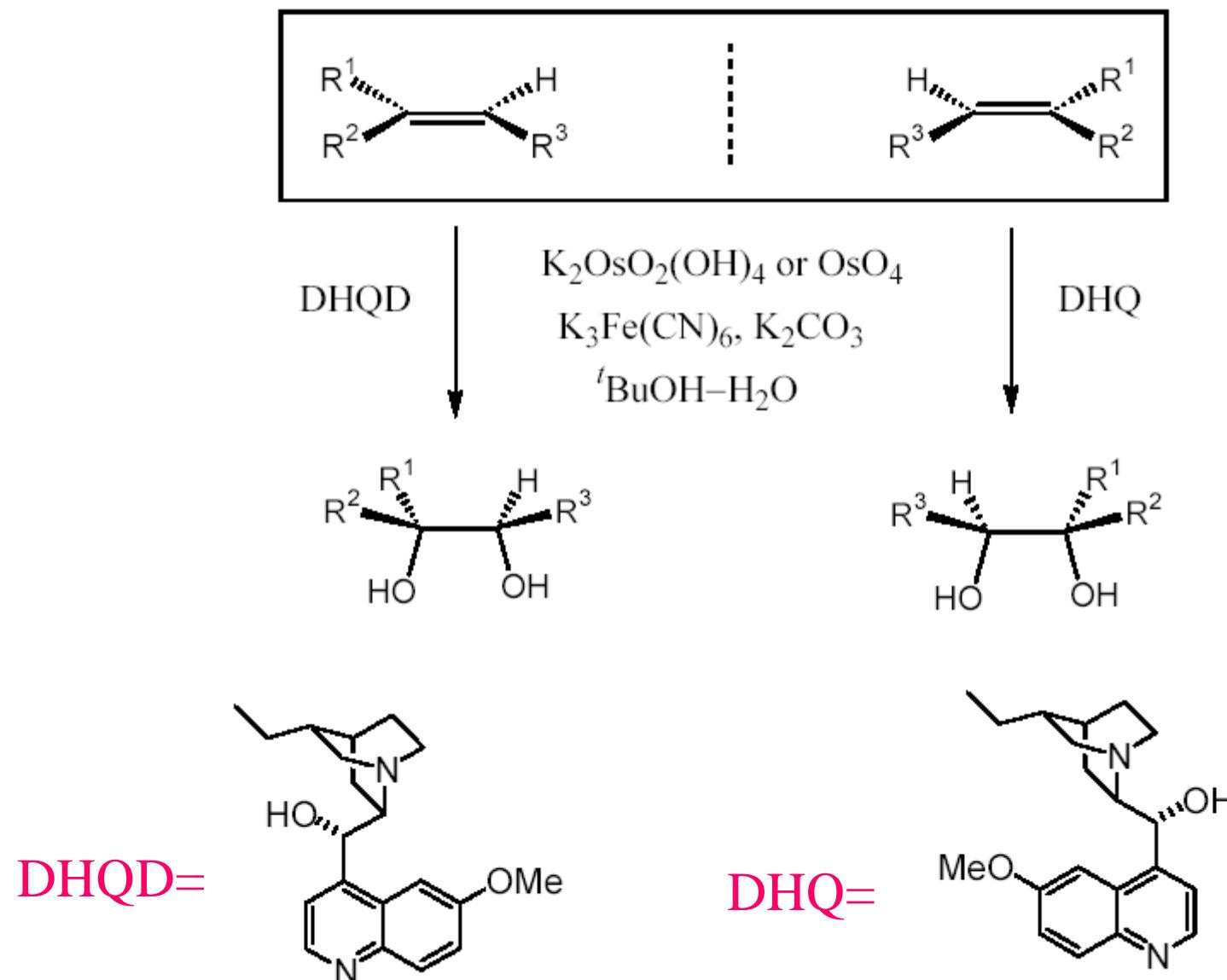


2. OsO₄ :

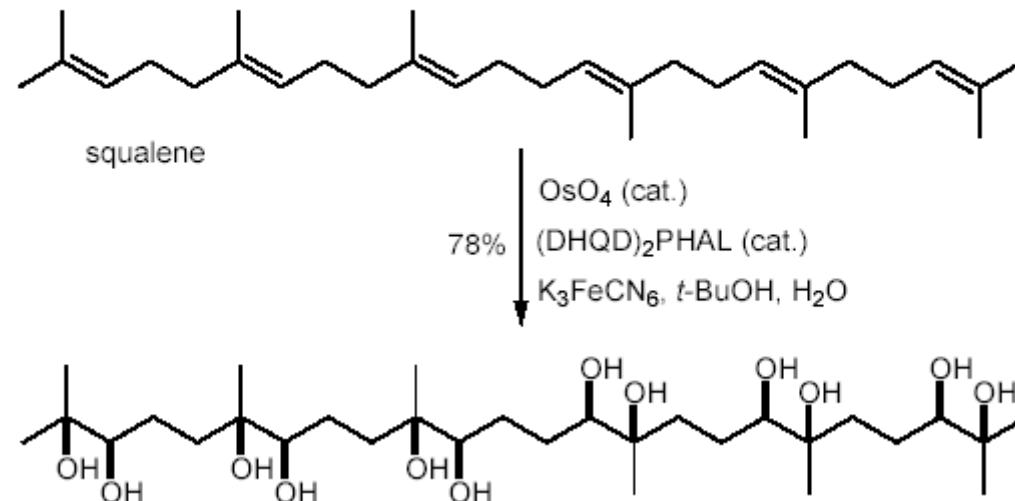
- More selective than KMnO₄
- *Syn* addition
- Catalytic with OsO₄: *N*-methylmorpholine-*N*-oxide (NMO), *t*-butyl hydroperoxide or barium chlorate can be used as the stoichiometric oxidant
- Diastereospecific, with attack on the C=C from the less hindered face



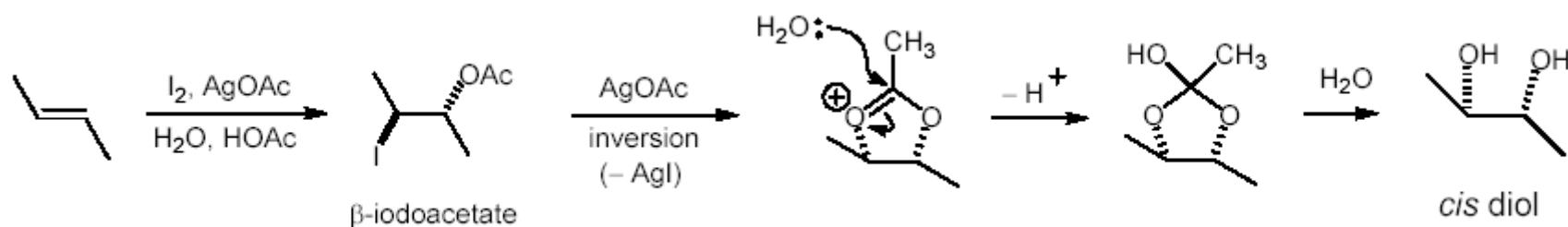
Sharpless Catalytic Asymmetric Dihydroxylation (AD)



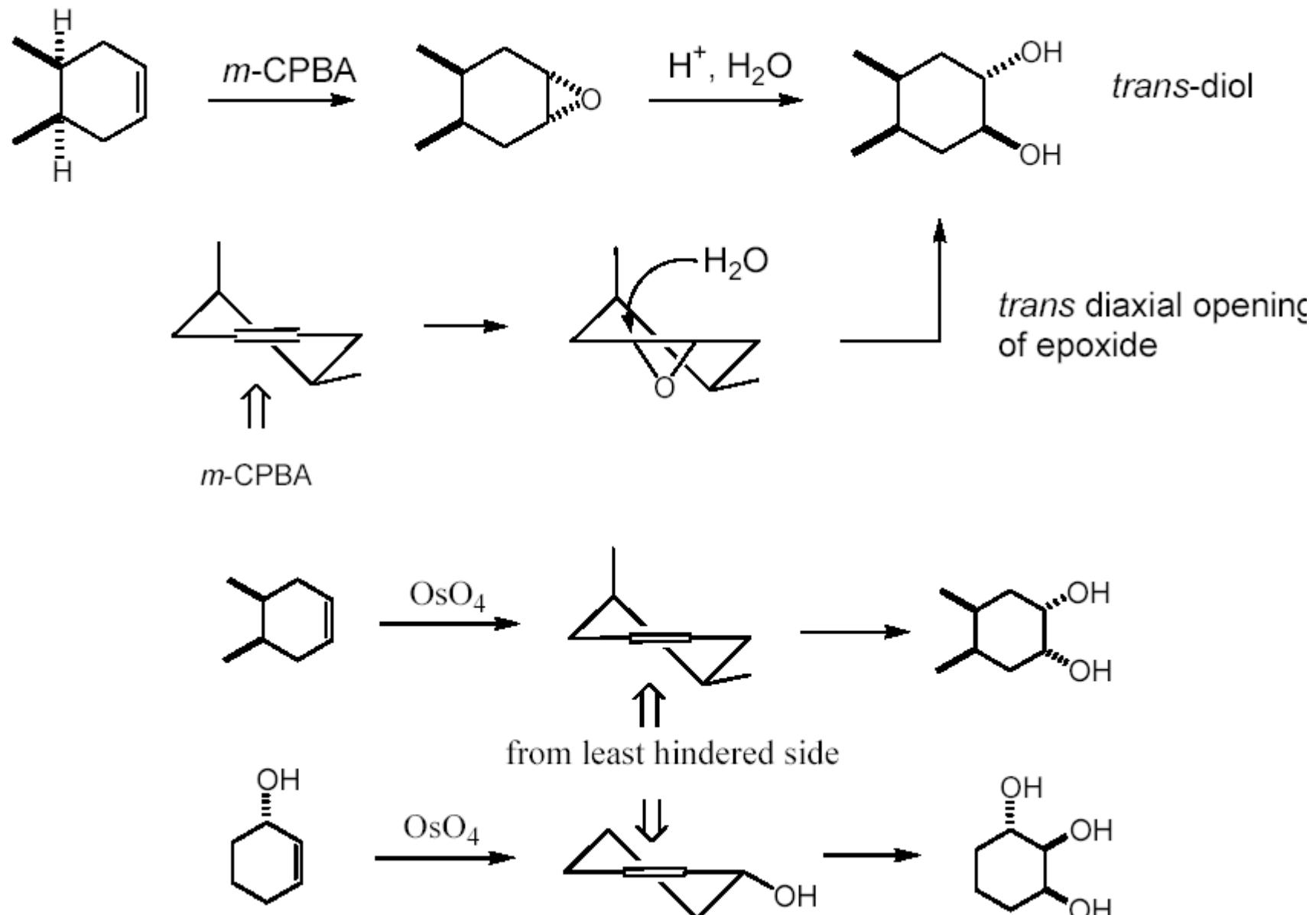
Example



3. Prevost reaction and Woodward hydroxylation



Comparison with *m*-CPBA and OsO₄ oxidation:

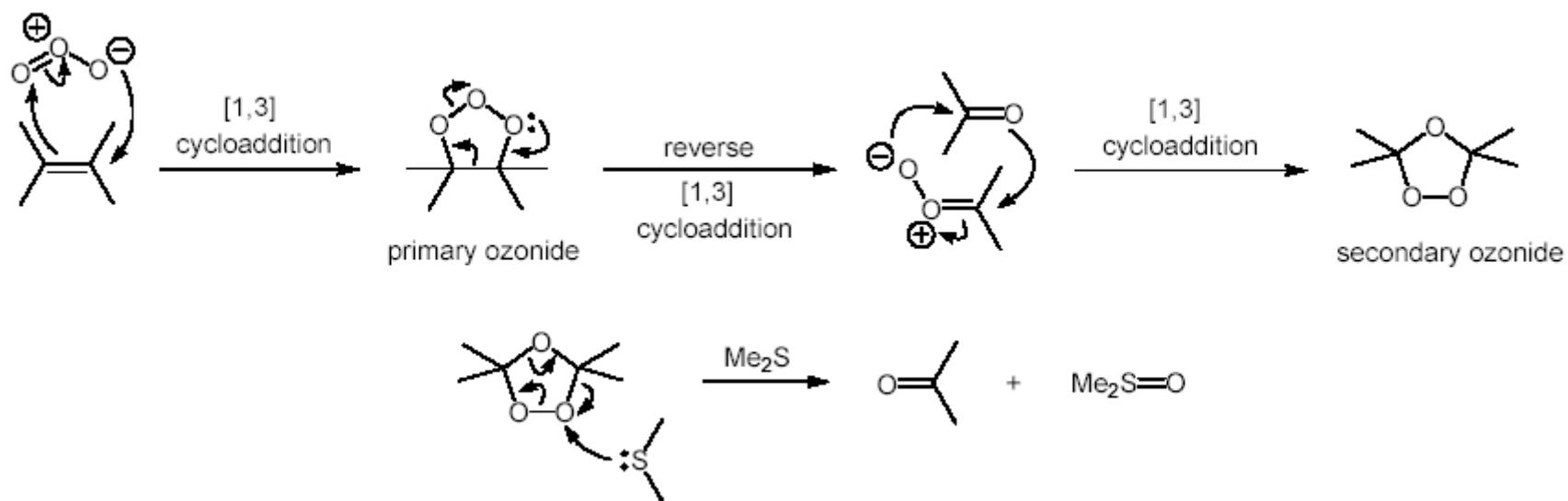


II. Oxidation of Alkenes

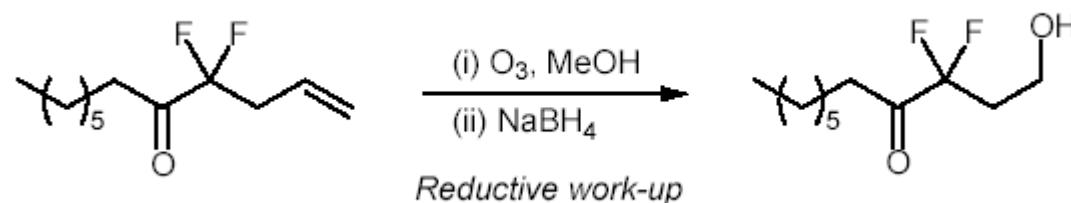
C) Oxidative cleavage of alkenes



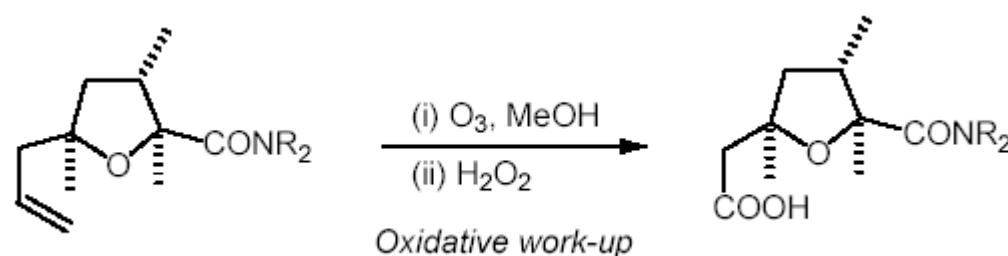
1. Ozone (O_3)



Reductive work-up: Me_2S , Ph_3P , Zn/HOAc give aldehydes/ketones
 NaBH_4 and LiBH_4 give alcohols



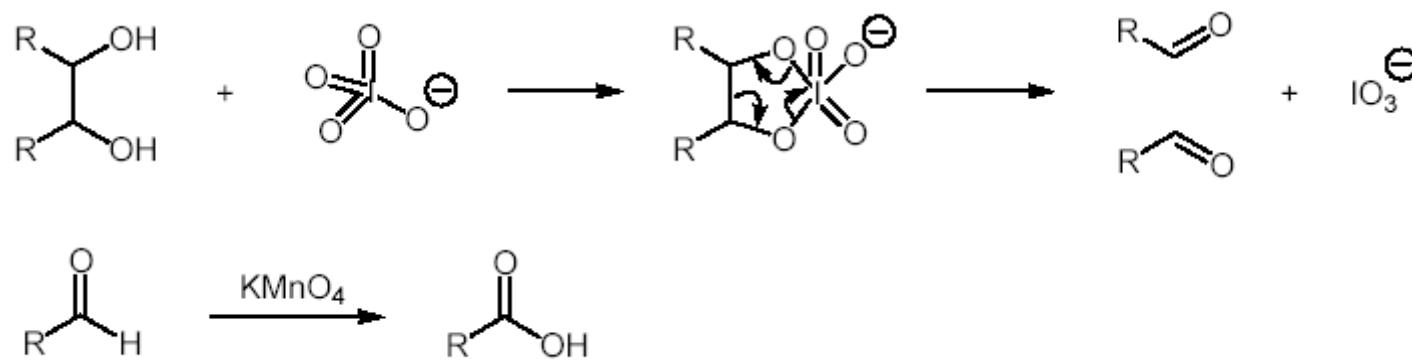
Oxidative work-up: H_2O_2 , KMnO_4 , Cr(VI) , and RuO_4 give ketones, carboxylic acids



2. Transition metal oxidants

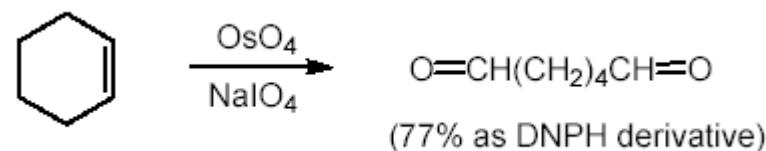
a) $\text{KMnO}_4 / \text{NaIO}_4$

- Form carboxylic acids



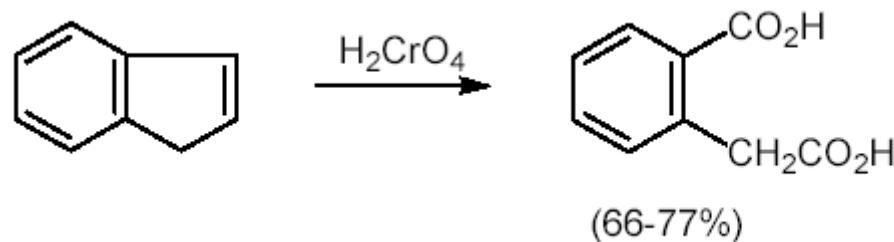
b) Lemieux-Johnson modification ($\text{OsO}_4 / \text{NaIO}_4$)

- Form aldehydes (no over oxidation)

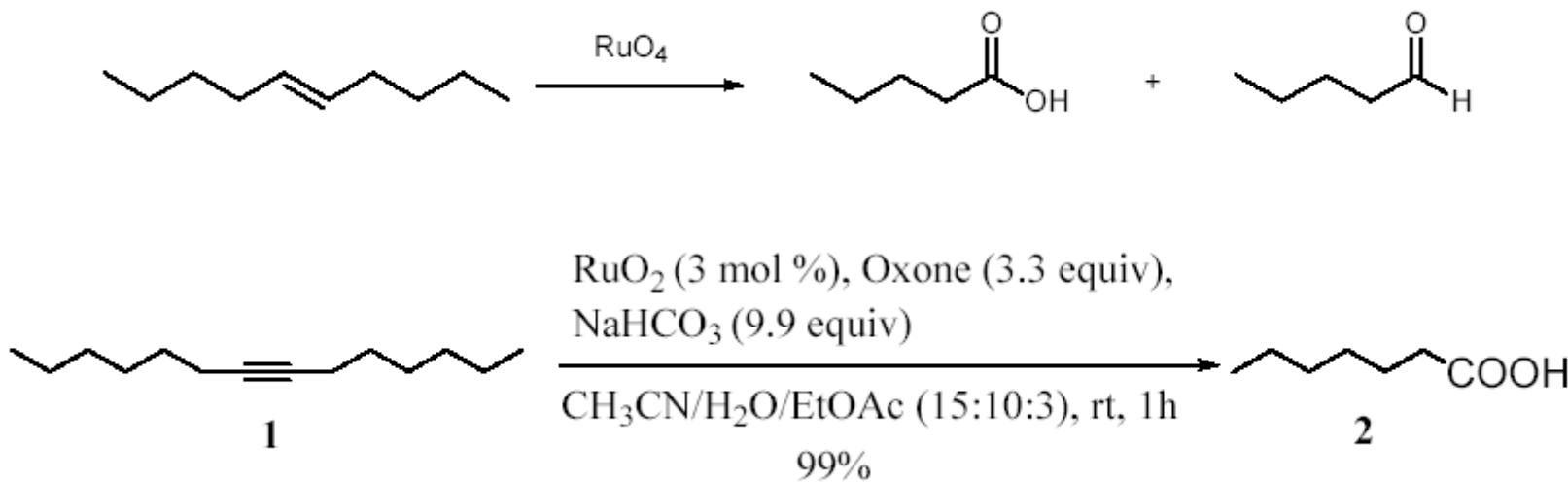


c) H_2CrO_4

- Form carboxylic acids



d) RuO_4 (RuCl_3 or $\text{RuO}_2 / \text{NaIO}_4$)



Reduction Reactions

I. Heterogeneous Catalytic Hydrogenation

II. Homogeneous Catalytic Hydrogenation

**III. Group III Hydride Donor Reagents (LiAlH_4 ,
 NaBH_4)**

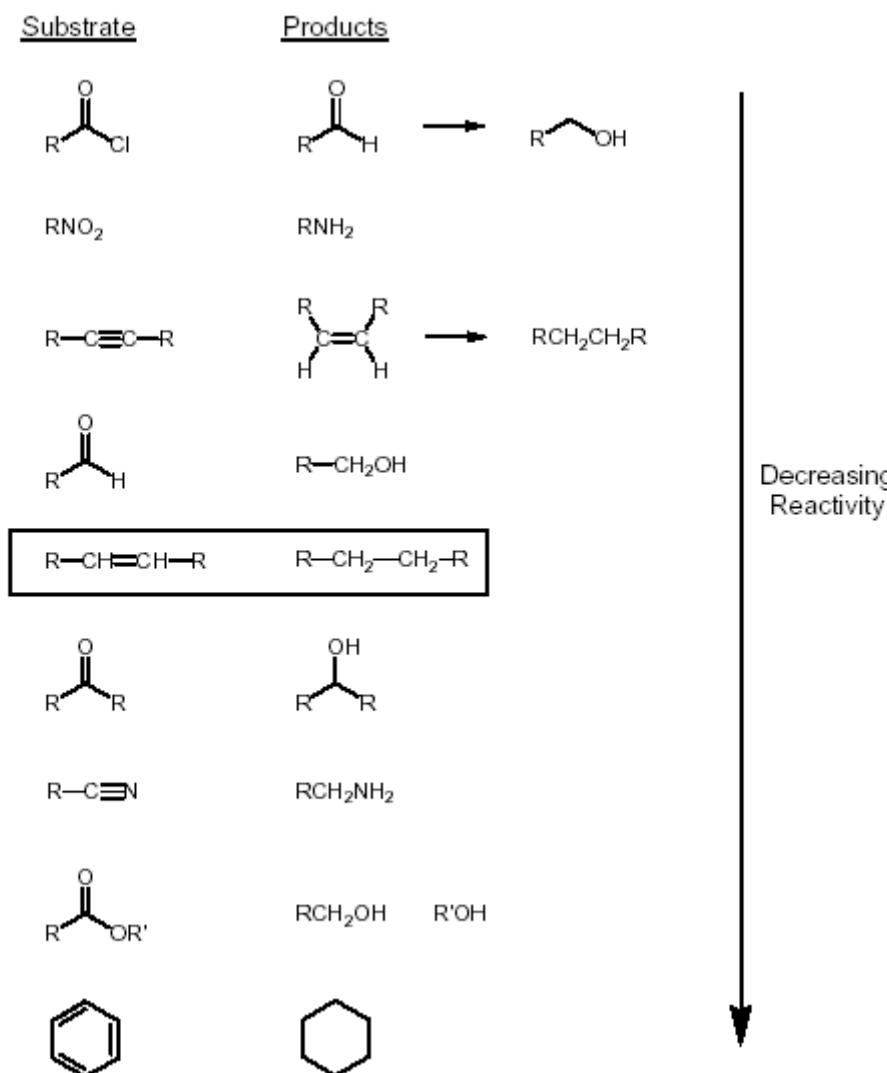
**IV. Neutral Group IV Reducing Reagents
(B_2H_6 , AlH_3)**

V. Chiral Reducing Agents

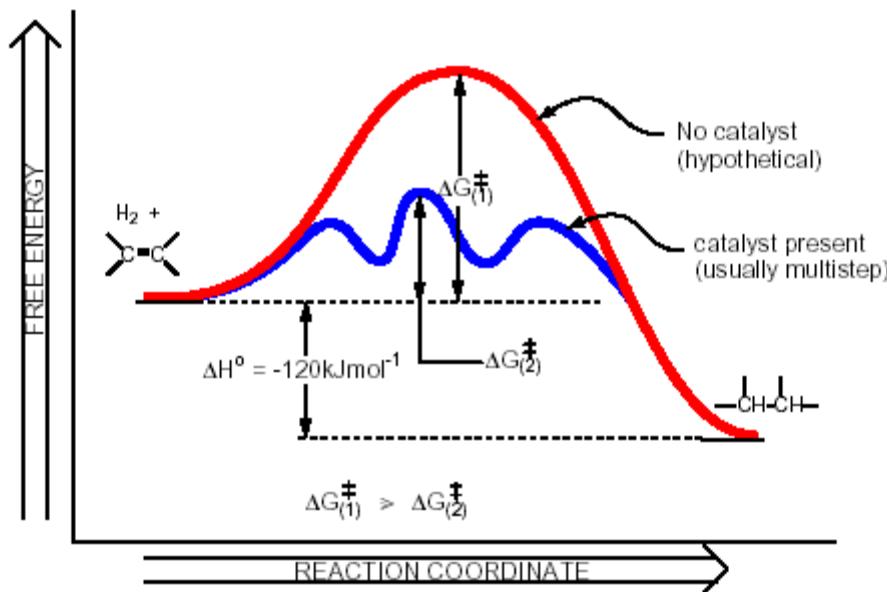
VI. Chemoselective 1,2- and 1,4-reductions

I. Heterogeneous Catalytic Hydrogenation

A. Approximate order of substrate reactivity in heterogeneous catalytic hydrogenation



B. Catalysts:



1. Reactivity of hydrogenation catalysts (to C=C):
 $\text{Pd} > \text{Rh} > \text{Pt} > \text{Ni} > \text{Ru}$
2. The most common catalysts:
 - a. **Palladium on charcoal** (5% or 10% Pd/C)
 - b. Platinum – **Adam's catalyst**, the actual catalyst Pt is generated *in situ* under the hydrogenation conditions.



C. Solvents:

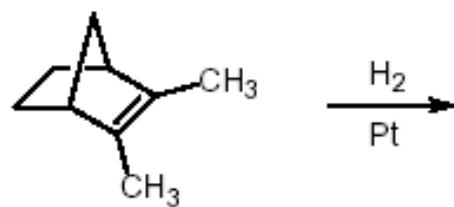
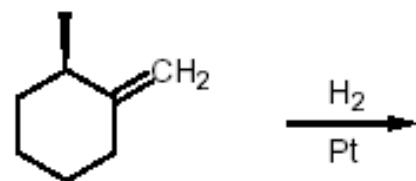
- Common solvents: Methanol (MeOH), ethyl acetate (EtOAc) and acetic acid (HOAc).
- Increasing the polarity of the solvent generally increases the activity of the catalyst.

D. Pressure:

- Hydrogenation rate is proportional to pressure.
- High pressure – high reaction rate, but low selectivity.

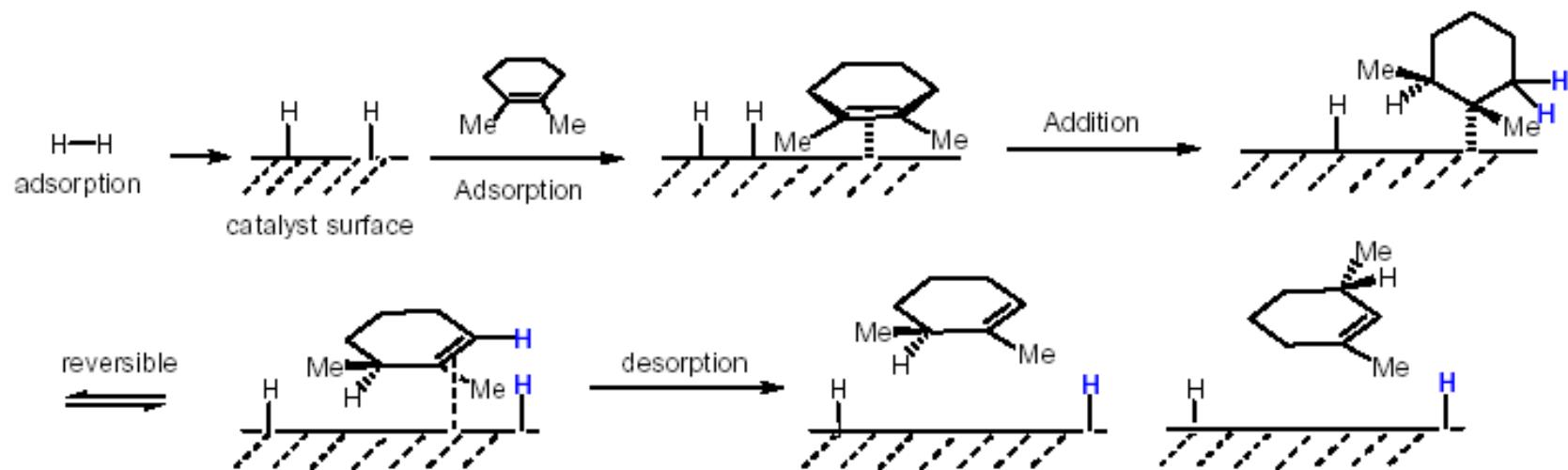
E. Stereochemistry:

- Syn addition from the less hindered side:



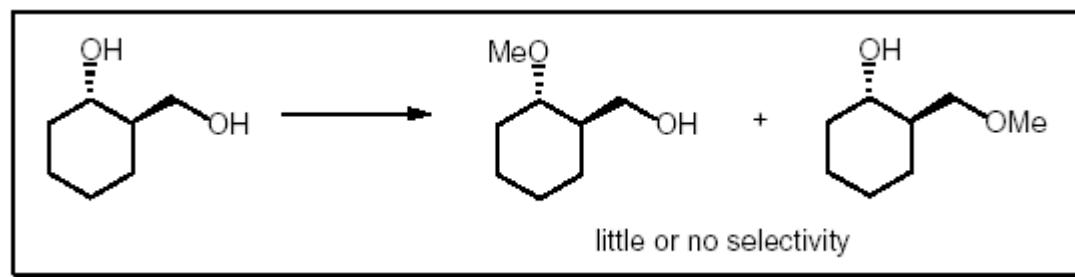
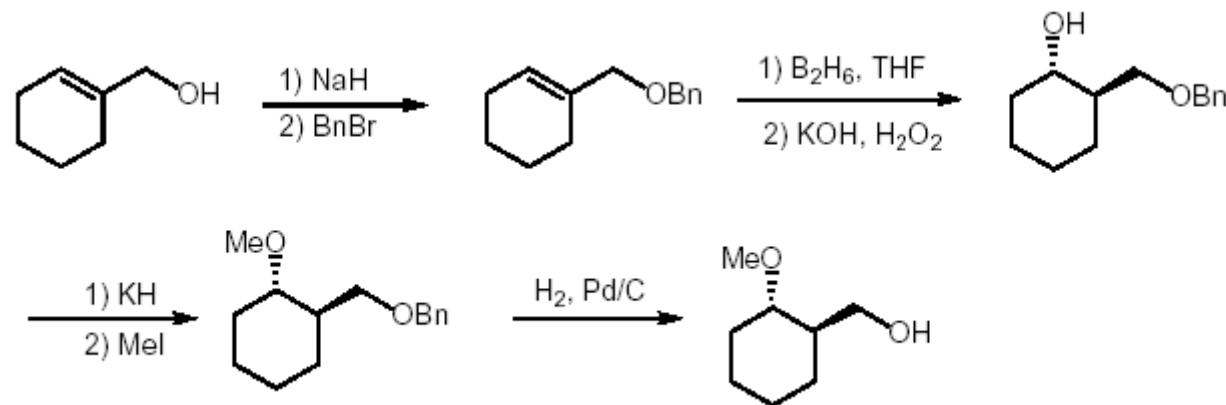
Mechanism: Why is it not perfect syn addition??

Stepwise addition from catalyst surface, and catalytic hydrogenation is revealed

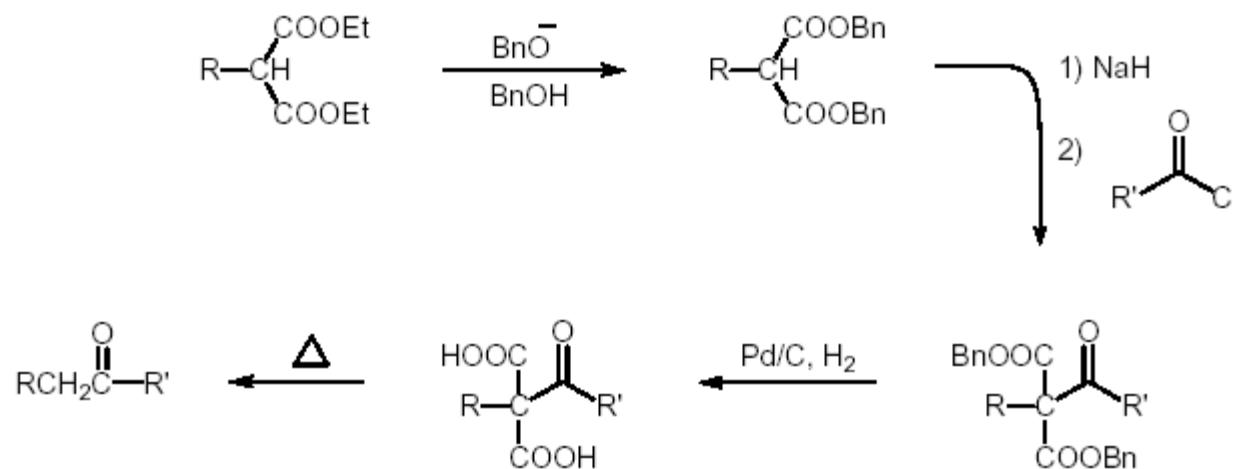


Applications of hydrogenolysis:

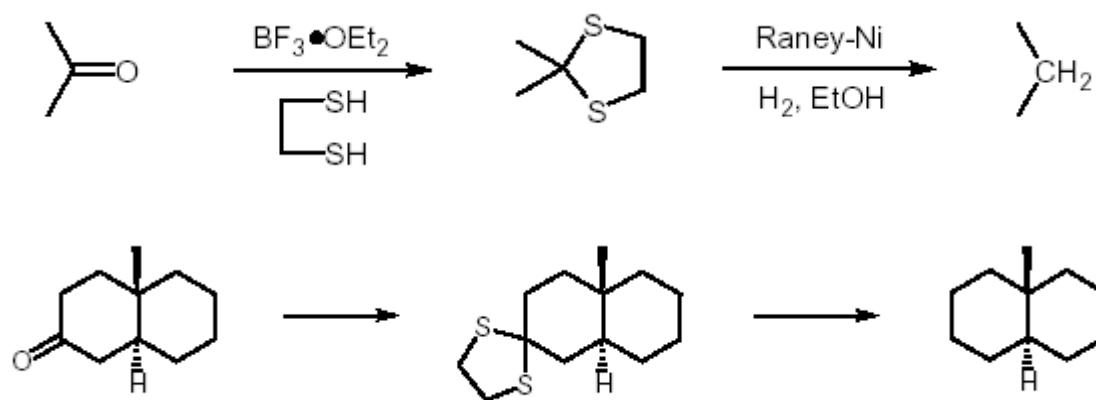
a) Benzyl groups can be used as a temporary protecting groups for alcohols and amines



b) Benzyl esters can be used as temporary protecting groups for carboxylic acids. Deprotection occurs under neutral conditions.



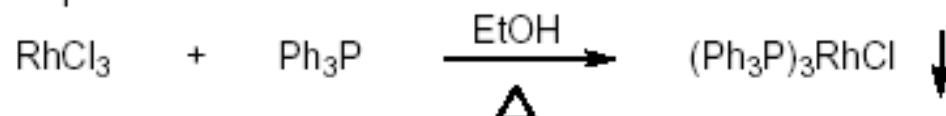
c) Desulphurization: Conversion of C-S bonds to C-H bonds



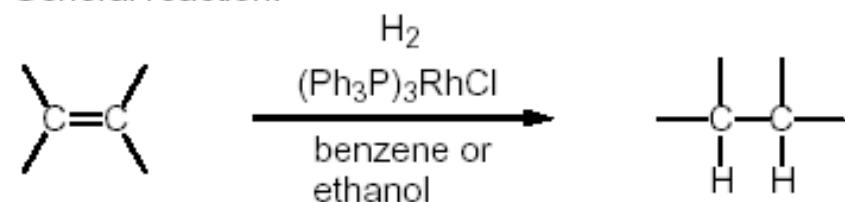
II. Homogenous Catalytic Hydrogenation

- Wilkinson's Catalyst: $(\text{Ph}_3\text{P})_3\text{RhCl}$

Preparation:

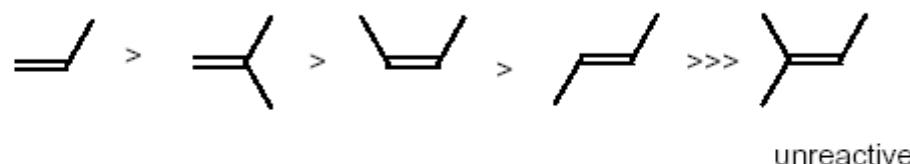


General reaction:



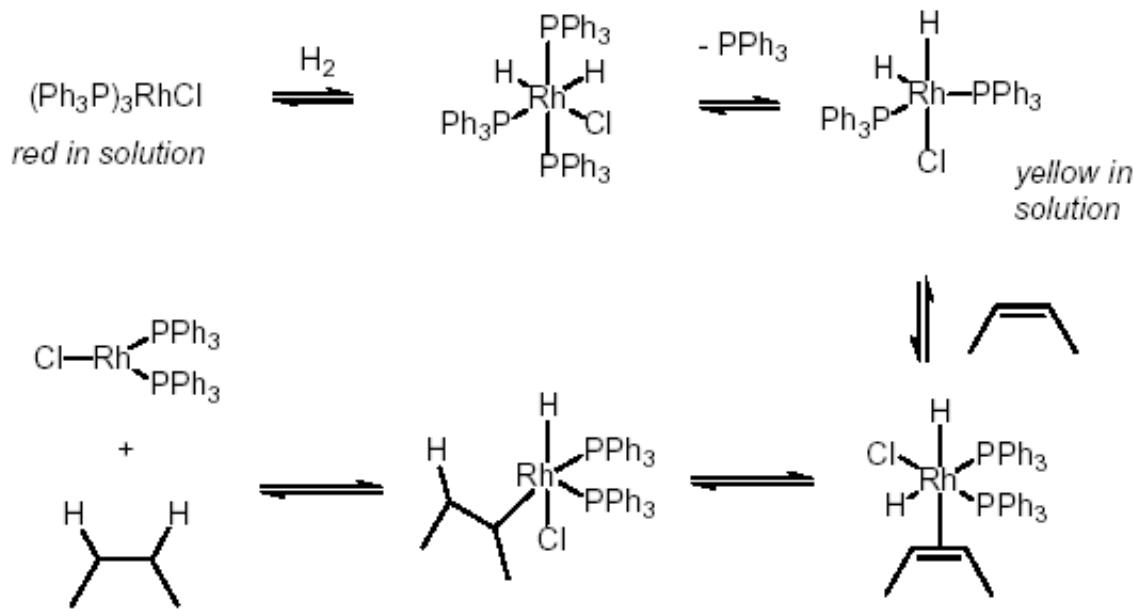
- Highly chemoselective for unhindered olefins:

Monosubstituted > disubstituted >>> trisubstituted olefins

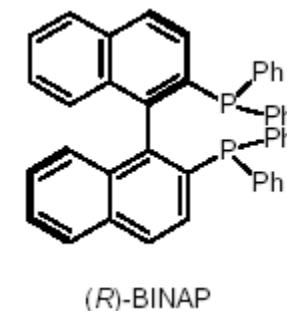
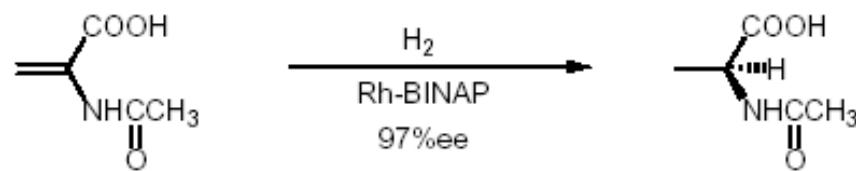


- Net syn addition.
- Compatible with sulphur containing functional groups.
- Can use chiral ligands for stereoselective hydrogenation.
- Selective for allylic alcohols.

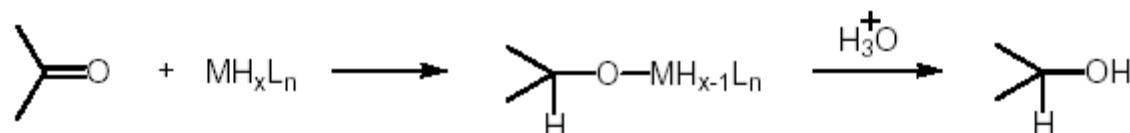
Mechanism:



Examples:



III. Group III Metal Hydride Donor Reagents (Boron and Aluminum)



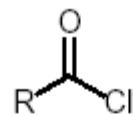
1) LiAlH_4 (lithium aluminum hydride, LAH)

- A powerful reducing agent.
- Reactions are very exothermic.
- Reacts vigorously with water.
- Frequently causes fires. (Be careful with large-scale reactions)
- Used in anhydrous, aprotic solvents (diethyl ether, tetrahydrofuran [THF] or 1,2-dimethoxyethane)
- Hydrolysis of aluminum alkoxide salts can be difficult.
 - The formation of aluminum alkoxides which are insoluble under neutral conditions, traps products.

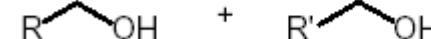
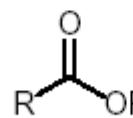
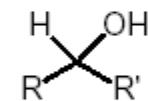
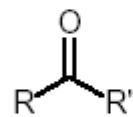
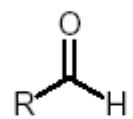
Reactions of LAH:

Reduction of carbonyl groups and other polarized multiple bonds.

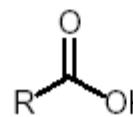
Substrates

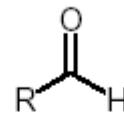
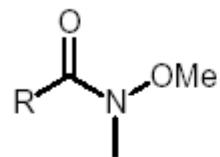
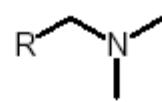
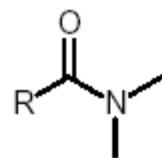


Product(s)

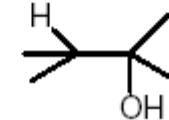
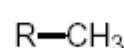
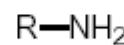
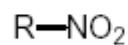
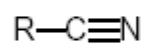


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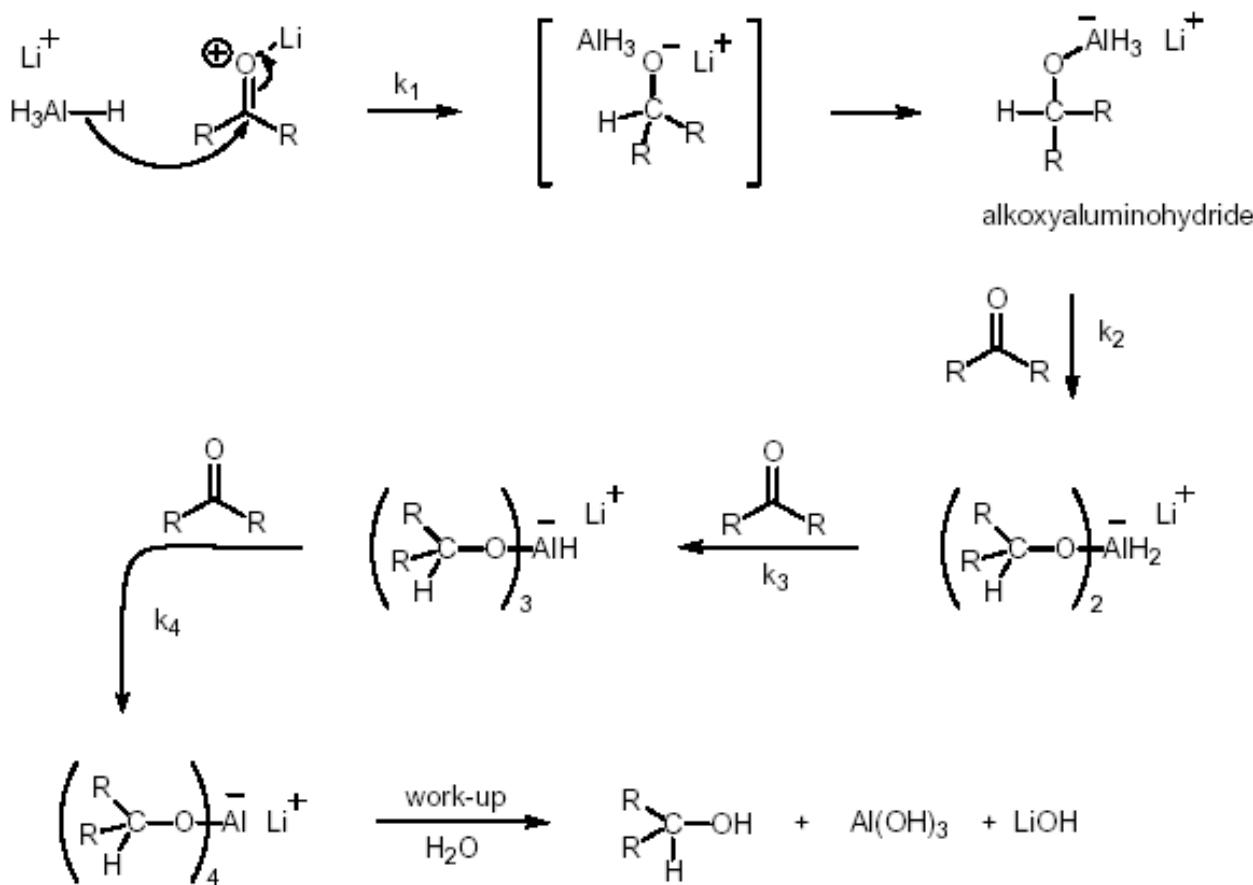




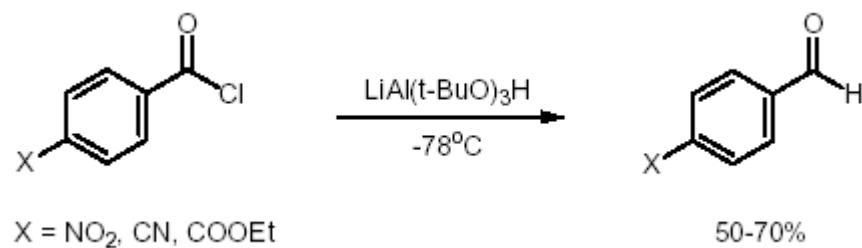
(a Weinreb amide)



Mechanism of LAH reduction:

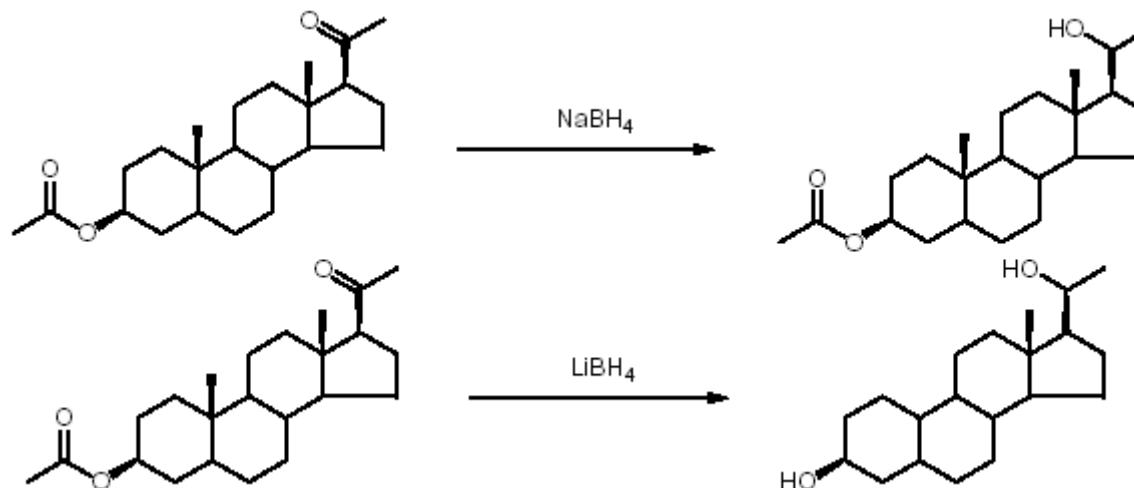


e.g.



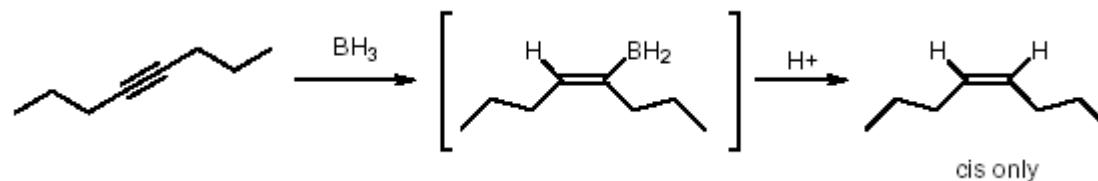
Sodium borohydride reduction:

- A milder reducing agent than LAH, therefore more chemoselective.
- NaBH_4 reduces ketones faster than α,β -unsaturated ketones.

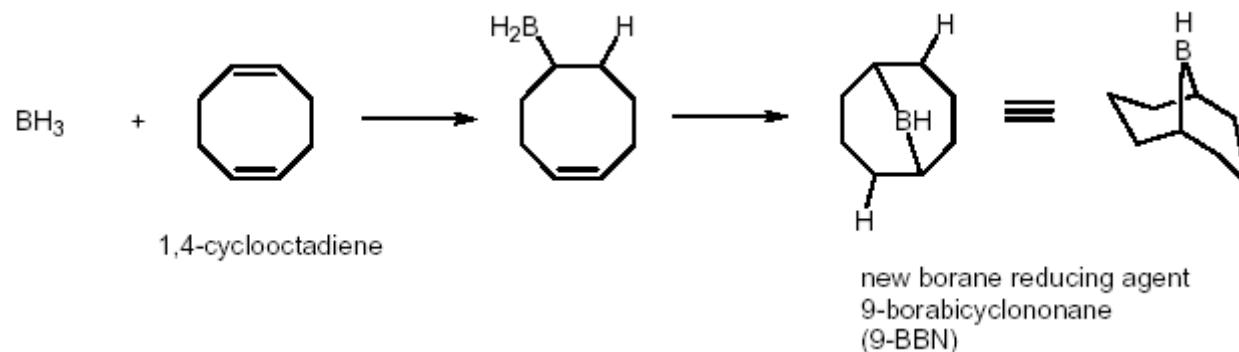


IV. Other group III metal reducing reagents:

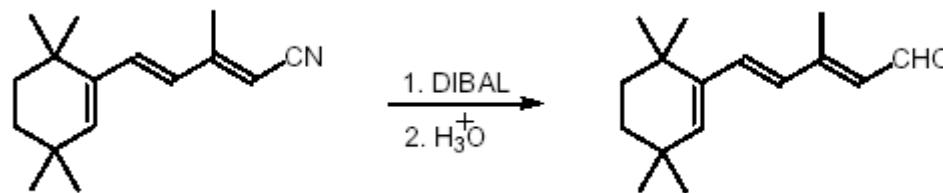
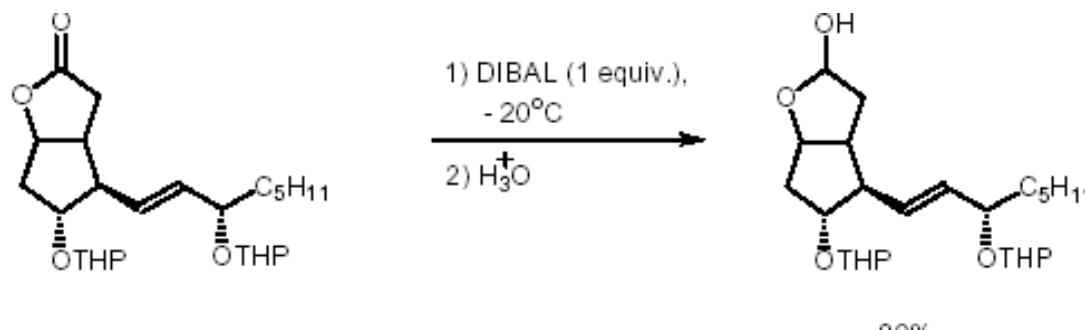
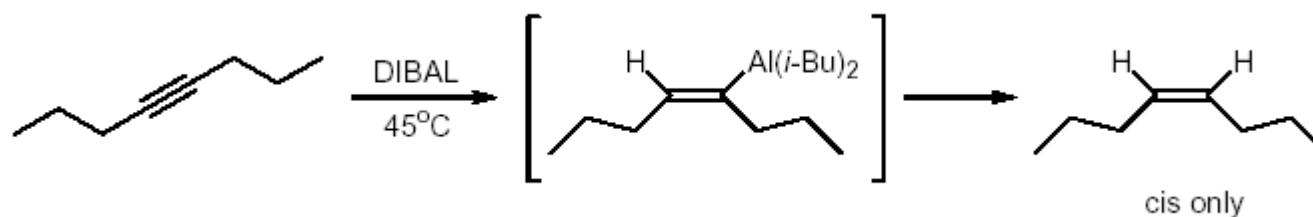
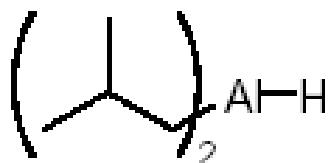
1) Diborane (B_2H_6) or Borane ($BH_3 \cdot THF$ or $BH_3 \cdot Me_2S$)



2) Complex boranes

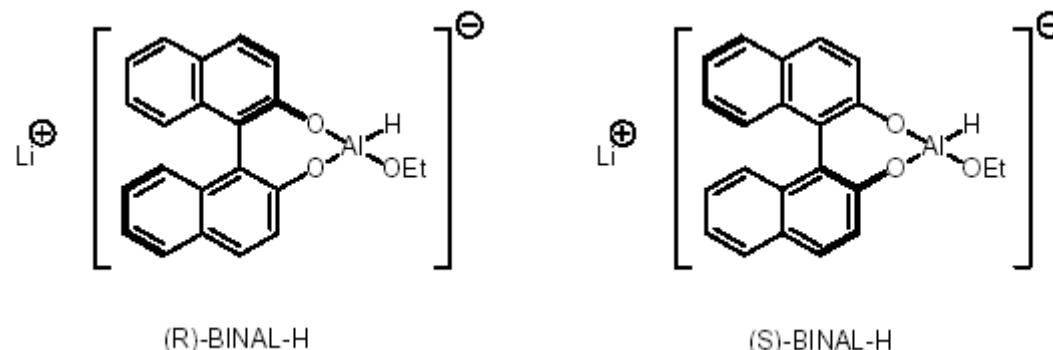


3) Diisobutylaluminium hydride (DIBAL or DIBAL-H or DIBAH)

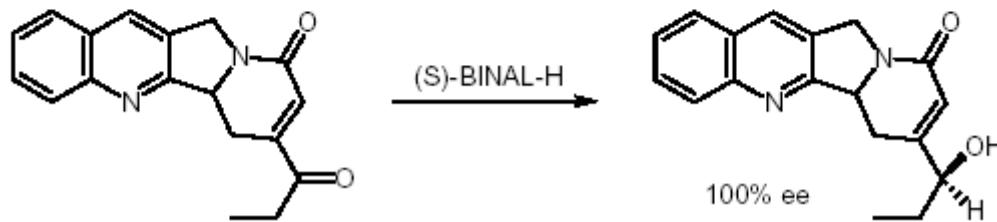
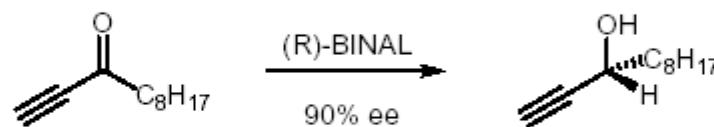
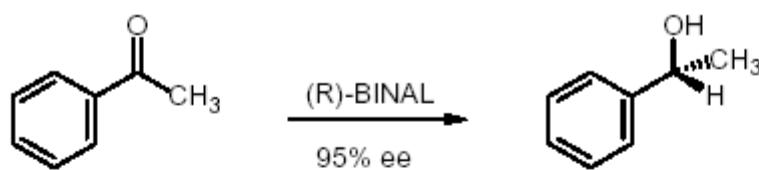


V. Chiral Reducing agents for asymmetric reduction

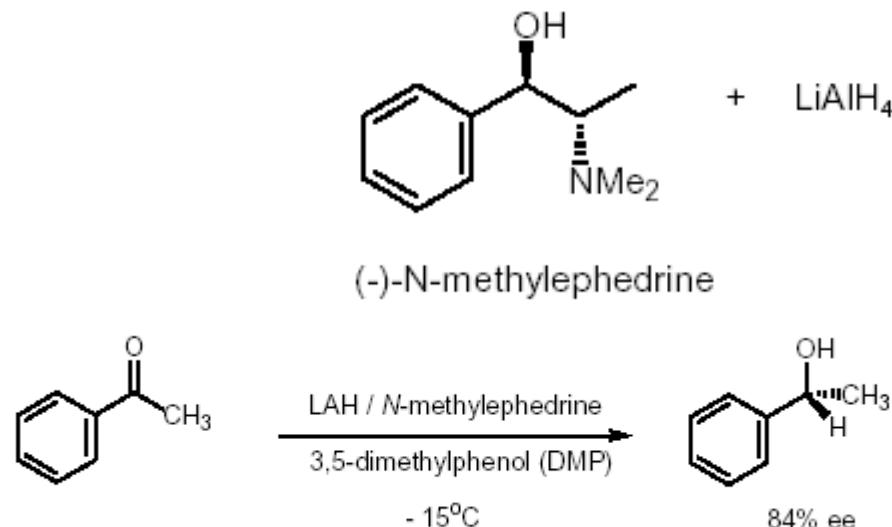
a) (R)- or (S)-BINAL-H



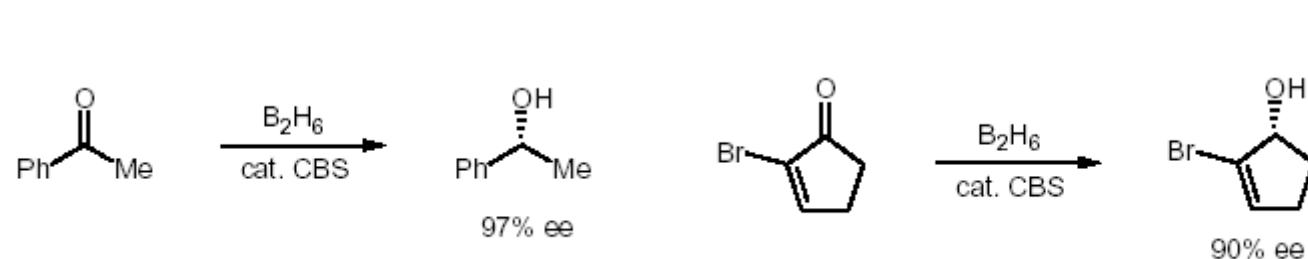
Examples:



Chiral amino alcohol ligands for the preparation of chiral LAH *in situ*.



Borane reduction in the presence of chiral catalyst(CBS):



VI. Selectivity: 1,2 vs. 1,4 (conjugate) reduction.

